

DEPARTMENT OF COMMERCE

Patent and Trademark Office

Patent Cooperation Treaty

ACTION: Proposed collection; comment request.

SUMMARY: The United States Patent and Trademark Office (USPTO), as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to comment on the continuing information collection, as required by the Paperwork Reduction Act of 1995, Public Act of 1996, Public Law 104-13 (44 U.S.C 3506(c)(2)(A)).

DATES: Written comments must be submitted on or before June 20, 2016.

ADDRESSES: You may submit comments by any of the following methods:

- *Email:* InformationCollection@uspto.gov. Include "0651-021 comment" in the subject line of the message.
- *Federal Register Portal:* <http://www.regulations.gov>.
- *Mail:* Marcie Lovett, Records Management Division Director, Office of the Chief Information Officer; United States Patent and Trademark Office; P.O. Box 1450, Alexandria, VA 22313-1450

FOR FURTHER INFORMATION CONTACT: Requests for additional information should be directed to Rafael Bacares, Senior Legal Advisor, Office of Patent Legal Administration, United States Patent and Trademark Office, P.O. Box 1450, Alexandria VA 22313-1450; by telephone at 571-272-3276; or by email at Rafael.Bacares@uspto.gov with "Paperwork" in the subject line. Additional information about this

collection is also available at <http://www.reginfo.gov> under "Information Collection Review."

SUPPLEMENTARY INFORMATION:

I. Abstract

This collection of information is required by the provisions of the Patent Cooperation Treaty (PCT), which became operational in June 1978 and is administered by the International Bureau (IB) of the World Intellectual Property Organization (WIPO) in Geneva, Switzerland. The provisions of the PCT have been implemented by the United States in part IV of title 35 of the U.S. Code (chapters 35-37) and subpart C of title 37 of the Code of Federal Regulations (37 CFR 1.401-1.499). The purpose of the PCT is to provide a standardized filing format and procedure that allows an applicant to seek protection for an invention in several countries by filing one international application in one location, in one language, and paying one initial set of fees.

The information in this collection is used by the public to submit a patent application under the PCT and by the United States Patent and Trademark Office (USPTO) to fulfill its obligation to process, search, and examine the application as directed by the treaty. The USPTO acts as the United States Receiving Office (RO/US) for international applications filed by residents and nationals of the United States. These applicants send most of the correspondence directly to the USPTO, but they may also file certain documents directly with the IB. The USPTO serves as an International Searching Authority (ISA) to perform searches and issue international search

reports (ISR) and the written opinions on international applications. The USPTO also issues international preliminary reports on patentability (IPRP Chapter II) when acting as an International Preliminary Examining Authority (IPEA).

II. Method of Collection

By mail, hand delivery, or electronic submission to the USPTO.

III. Data

OMB Number: 0651-0021.

IC Instruments and Forms: The individual instruments in this collection, as well as their associated forms, are listed in the table below.

Type of Review: Revision of a Previously Existing Information Collection.

Affected Public: Individuals or households; business or other for-profits; and not-for-profit institutions.

Estimated Number of Respondents: 423,970 responses per year.

Estimated Time per Response: The USPTO estimates that it will take the public between 0.25 hours (15 minutes) and 8 hours to gather the necessary information, prepare the appropriate form or documents, and submit the information to the USPTO.

Estimated Total Annual Respondent Burden Hours: 364,830 hours.

Estimated Total Annual Respondent (Hourly) Cost Burden: \$149,380,300. The USPTO expects that attorneys will complete these applications. The current professional hourly rate for attorneys is \$410.00. Using this hourly rate, the USPTO estimates that the total respondent cost burden for this collection is \$149,380,300 per year.

IC No.	Item	Estimated time for response (hr)	Estimated annual responses	Estimated annual burden hours	Rate (\$/hr)	Total cost burden (\$/hr)
		(a)	(b)	(a) × (b) = (c)	(d)	(c) × (d) = (e)
1	Request and Fee Calculation Sheet (Annex and Notes) (PCT/RO/101).	1	56,480	56,480	\$410.00	\$23,156,800.00
2	Description/claims/drawings/abstracts.	3	56,480	169,480	410.00	69,470,400.00
3	Application Data Sheet (35 U.S.C. 371 applications).	0.38 (23 minutes)	91,477	34,761	410.00	14,252,010.00
4	Transmittal Letter to the United States Receiving Office (RO/US) (PTO-1382).	0.25 (15 minutes)	50,832	12,708	410.00	5,210,280.00
5	Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Submission Under 35 U.S.C. 371 (PTO-1390).	0.25 (15 minutes)	85,387	21,347	410.00	8,752,270.00
6	PCT/Model of Power of Attorney	0.25 (15 minutes)	2,824	706	410.00	289,460.00
7	PCT/Model of General Power of Attorney.	0.25 (15 minutes)	282	71	410.00	29,110.00

IC No.	Item	Estimated time for response (hr) (a)	Estimated annual responses (b)	Estimated annual burden hours (a) × (b) = (c)	Rate (\$/hr) (d)	Total cost burden (\$/hr) (c) × (d) = (e)
8	Indications Relating to a Deposited Microorganism (PCT/RO/134).	0.25 (15 minutes)	1	0	410.00	0.00
9	Response to invitation to correct defects.	2	15,117	30,234	410.00	12,395,940.00
10	Request for rectification of obvious errors.	0.50 (30 minutes)	867	434	410.00	177,940.00
11	Demand and Fee Calculation Sheet (Annex and Notes) (PCT/IPEA/401).	1	1,406	1,406	410.00	576,460.00
12	Amendments (Article 34)	1	1,406	1,406	410.00	576,460.00
13	Fee Authorization	0.25 (15 minutes)	50,832	12,708	410.00	5,210,280.00
14	Requests to transmit copies of international application.	0.25 (15 minutes)	1,081	270	410.00	110,700.00
15	Withdrawal of international application (PCT/IB/372).	0.25 (15 minutes)	1,580	395	410.00	161,950.00
16	Translations	2	2,298	4,596	410.00	1,884,360.00
17	Petition for Revival of an International Application for Patent Designating the U.S. Abandoned Unintentionally Under 37 CFR 1.137(a) (PTO/SB/64/PCT).	1	887	887	410.00	363,670.00
18	Petitions to the Commissioner for international applications.	4	133	532	410.00	218,120.00
19	Petitions to the Commissioner in national stage examination.	4	3,191	12,764	410.00	5,233,240.00
20	Acceptance of an unintentionally delayed claim for priority (37 CFR 1.78(a)(3)).	2	542	1,084	410.00	444,440.00
21	Request for the restoration of the right of priority.	3	867	2,601	410.00	1,066,410.00
Totals			423,970	364,830		149,580,300.00

Estimated Total Annual (Non-hour) Respondent Cost Burden: \$305,509,626.10. This collection has annual (non-hour) costs in the form of translations, drawings, filing fees, and postage costs.

Translations and Drawings

Under the terms of the PCT, the USPTO may require documents submitted for a PCT application to be translated into English when necessary. This requirement may carry additional costs for the applicant to contract for a translation of the documents in questions. The USPTO believes that the average length of the document to be translated will be 10 pages and that it

will cost approximately \$150 per page for the translation, for an average translation cost of \$1,500 per document. The USPTO estimates that it receives approximately 21,180 English translations annually, for a total of \$31,770,000 per year for English translations of non-English language documents for PCT applications.

Applicants may also incur costs for drawings that are submitted as part of PCT applications. Some applicants may produce their own drawings, while others may contract out the work to various patent illustration firms. For the purpose of estimating burden for this collection, the USPTO will consider all applicants to have their drawings

prepared by these firms. The USPTO estimates that drawings may cost an average of \$58 per sheet to produce and that on average 11 sheets of drawings are submitted per application, for an average total cost of \$638 to produce a set of drawings for an application. The USPTO expects that approximately 91% of the estimated 48,285 applications per year will have drawings filed with them, for a total of 43,939 sets of drawings with a total cost of \$28,033,082 per year.

Filing Fee

The estimated filing fees for this collection are calculated in the accompanying table.

IC No.	Item	Responses (a)	Fees (b)	Total fee amount (a) × (b) = (c)
	Request and Fee Calculation Sheet (Annex and Notes) (PCT/RO/101)	56,480	\$1,254.00	\$70,825,920.00
	Demand and Fee Calculation Sheet (Annex and Notes) (PCT/IPEA/401)	1,406	213.00	299,478.00
	Acceptance of an unintentionally delayed claim for priority, or for filing a request for the restoration of the right of priority.	1,409	2,000.00	2,818,000.00
	Basic National Stage Fee (Large entity)	65,948	300.00	19,784,400.00
	Basic National Stage Fee (Small entity)	19,893	150.00	2,983,950.00
	Basic National Stage Fee (Micro entity)	1,197	75.00	89,775.00

IC No.	Item	Responses	Fees	Total fee amount
		(a)	(b)	(a) × (b) = (c)
	National Stage Search Fee—U.S. was the ISA or IPEA and all claims satisfy PCT Article 33(1)–(4).	452	0	0.00
	National Stage Search Fee—U.S. was the ISA (Large entity)	2,728	140.00	381,920.00
	National Stage Search Fee—U.S. was the ISA (Small entity)	2,918	70.00	204,260.00
	National Stage Search Fee—U.S. was the ISA (Micro entity)	206	35.00	7,210.00
	National Stage Search Fee—search report prepared and provided to USPTO (Large entity).	60,196	520.00	31,301,920.00
	National Stage Search Fee—search report prepared and provided to USPTO (Small entity).	15,917	260.00	4,138,420.00
	National Stage Search Fee—search report prepared and provided to USPTO (Micro entity).	866	130.00	112,580.00
	National Stage Examination Fee—U.S. was the ISA or IPEA and all claims satisfy PCT Article 33(1)–(4).	452	0	0.00
	Transmitting application to Intl. Bureau to act as receiving office (Large entity).	313	240.00	75,120.00
	Transmitting application to Intl. Bureau to act as receiving office (Small entity).	191	120.00	22,920.00
	Transmitting application to Intl. Bureau to act as receiving office (Micro entity).	21	60.00	1,260.00
	National Stage Search Fee—all other situations (Large entity)	2,864	660.00	1,890,240.00
	National Stage Search Fee—all other situations (Small entity)	908	330.00	299,640.00
	National Stage Search Fee—all other situations (Micro entity)	118	165.00	19,470.00
	National Stage Examination Fee—all other situations (Large entity)	65,701	760.00	49,932,760.00
	National Stage Examination Fee—all other situations (Small entity)	19,653	380.00	7,468,140.00
	National Stage Examination Fee—all other situations (Micro entity)	1,171	190.00	222,490.00
	Search fee, examination fee or oath of declaration after thirty months from priority date (Large entity).	23,193	140.00	3,247,020.00
	Search fee, examination fee or oath of declaration after thirty months from priority date (Small entity).	10,149	70.00	710,430.00
	Search fee, examination fee or oath of declaration after thirty months from priority date (Micro entity).	304	35.00	10,640.00
	English translation after thirty months from priority date (Large entity)	1,467	140.00	205,380.00
	English translation after thirty months from priority date (Small entity)	782	70.00	54,740.00
	English translation after thirty months from priority date (Micro entity)	49	35.00	1,715.00
	Transmittal fee (Large entity)	39,370	240.00	9,448,800.00
	Transmittal fee (Small entity)	16,017	120.00	1,922,040.00
	Transmittal fee (Micro entity)	1,240	60.00	74,400.00
	Search fee—regardless of whether there is a corresponding application (see 35 U.S.C. 361(d) and PCT Rule 16) (Large entity).	8,227	2,080.00	17,112,160.00
	Search fee—regardless of whether there is a corresponding application (see 35 U.S.C. 361(d) and PCT Rule 16) (Small entity).	10,929	1,040.00	11,366,160.00
	Search fee—regardless of whether there is a corresponding application (see 35 U.S.C. 361(d) and PCT Rule 16) (Micro entity).	1,129	520.00	587,080.00
	Supplemental search fee when required, per additional invention	1	2,080.00	2,080.00
	Preliminary examination fee—U.S. was the ISA (Large entity)	346	600.00	207,600.00
	Preliminary examination fee—U.S. was the ISA (Small entity)	257	300.00	77,100.00
	Preliminary examination fee—U.S. was the ISA (Micro entity)	54	150.00	8,100.00
	Preliminary examination fee—U.S. was not the ISA (Large entity)	143	760.00	108,680.00
	Preliminary examination fee—U.S. was not the ISA (Small entity)	31	380.00	11,780.00
	Preliminary examination fee—U.S. was not the ISA (Micro entity)	1	190.00	190.00
	Supplemental examination fee per additional invention (Large entity)	0	600.00	0.00
	Supplemental examination fee per additional invention (Small entity)	4	300.00	1,200.00
	Supplemental examination fee per additional invention (Micro entity)	0	150.00	0.00
	National Stage Application Size Fee—for each additional 50 sheets that exceed 100 sheets (Large entity).	2,325	400.00	930,000.00
	National Stage Application Size Fee—for each additional 50 sheets that exceed 100 sheets (Small entity).	1,181	200.00	236,200.00
	National Stage Application Size Fee—for each additional 50 sheets that exceed 100 sheets (Micro entity).	36	100.00	3,600.00
	[PCT National Stage] Claims—extra independent (over three) (Large entity)	7,565	460.00	3,479,900.00
	[PCT National Stage] Claims—extra independent (over three) (Small entity)	2,650	230.00	609,500.00
	[PCT National Stage] Claims—extra independent (over three) (Micro entity)	122	115.00	14,030.00
	[PCT National Stage] Claims—extra total (over 20) (Large entity)	10,797	100.00	1,079,700.00
	[PCT National Stage] Claims—extra total (over 20) (Small entity)	5,574	50.00	278,700.00
	[PCT National Stage] Claims—extra total (over 20) (Micro entity)	241	25.00	60,250.00
	[PCT National Stage] Claim—multiple dependent (Large entity)	986	820.00	808,520.00
	[PCT National Stage] Claim—multiple dependent (Small entity)	522	410.00	214,020.00
	[PCT National Stage] Claim—multiple dependent (Large entity)	42	205.00	8,610.00
Totals	466,522	245,700,473.00

Postage Costs

Customers may incur postage costs when submitting the information in this collection to the USPTO by mail. The USPTO estimates that the average first-class postage cost for a mailed submission will be 49 cents and that up to 12,390 submissions (approximately 2% of responses) will be mailed to the USPTO per year, for a total estimated postage cost of \$6,071.10 per year.

The total annual (non-hour) respondent cost burden for this collection associated with translations, drawings, fees, and postage is estimated to be \$310,789,891.10 per year.

IV. Request for Comments

Comments are invited on:

(a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility;

(b) The accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information;

(c) Ways to enhance the quality, utility, and clarity of the information to be collected; and

(d) Ways to minimize the burden of the collection of information on respondents, *e.g.*, the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized or included in the request for OMB approval of this information collection; they also will become a matter of public record.

Dated: April 13, 2016.

Joseph Rivera,

*Office of Information Management Services
Deputy Director, USPTO, Office of the Chief
Information Officer.*

[FR Doc. 2016-09037 Filed 4-18-16; 8:45 am]

BILLING CODE 3510-16-P

DEPARTMENT OF DEFENSE**Department of the Navy****Notice of Intent To Grant Partially Exclusive Patent License; CelerAscent, LLC**

AGENCY: Department of the Navy, DoD.

ACTION: Notice.

SUMMARY: The invention listed below is assigned to the United States Government as represented by the Secretary of the Navy. The Department of the Navy hereby gives notice of its intent to grant to CelerAscent, LLC, a revocable, nonassignable, partially

exclusive license to practice in the United States, the Government-owned invention described below:

U.S. Patent Application 62/156,092 (Navy Case 200115): Filed May 1, 2015, entitled "PHOTONIC HYBRID RECEIVE ANTENNA."

DATES: Anyone wishing to object to the grant of this license has fifteen days from the date of this notice to file written objections along with supporting evidence, if any.

ADDRESSES: Written objections are to be filed with Naval Surface Warfare Center, Crane Div., Code OOL, Bldg. 2, 300 Highway 361, Crane, IN 47522-5001.

FOR FURTHER INFORMATION CONTACT: Mr. Christopher Monsey, Naval Surface Warfare Center, Crane Div., Code OOL, Bldg. 2, 300 Highway 361, Crane, IN 47522-5001, telephone 812-854-4100.

Authority: 35 U.S.C. 207, 37 CFR part 404

Dated: April 13, 2016.

N.A. Hagerty-Ford,

*Commander, Judge Advocate General's Corps,
U.S. Navy, Federal Register Liaison Officer.*

[FR Doc. 2016-09009 Filed 4-18-16; 8:45 am]

BILLING CODE 3810-FF-P

DEPARTMENT OF DEFENSE**Department of the Navy****Meeting of the Board of Visitors of Marine Corps University**

AGENCY: Department of the Navy, DOD.

ACTION: Notice of open meeting.

SUMMARY: The Board of Visitors of the Marine Corps University (BOV MCU) will meet to review, develop and provide recommendations on all aspects of the academic and administrative policies of the University; examine all aspects of professional military education operations; and provide such oversight and advice, as is necessary, to facilitate high educational standards and cost effective operations. The Board will be focusing primarily on the internal procedures of Marine Corps University. All sessions of the meeting will be open to the public.

DATES: The meeting will be held on Thursday, May 12, 2016, from 8:00 a.m. to 4:30 p.m. and Friday, May 13, 2016, from 8:00 a.m. to 1130 a.m.

ADDRESSES: The meeting will be held at Marine Corps University in Quantico, Virginia. The address is: 2076 South St, Quantico, VA.

FOR FURTHER INFORMATION CONTACT: Dr. Kim Florich, Director of Faculty Development and Outreach, Marine Corps University Board of Visitors, 2076

South Street, Quantico, Virginia 22134, telephone number 703-432-4682.

Dated: April 13, 2016.

N. A. Hagerty-Ford,

*Commander, Judge Advocate General's Corps,
U.S. Navy, Federal Register Liaison Officer.*

[FR Doc. 2016-09011 Filed 4-18-16; 8:45 am]

BILLING CODE 3810-FF-P

DEPARTMENT OF EDUCATION**President's Advisory Commission on Educational Excellence for Hispanics**

AGENCY: White House Initiative on Educational Excellence for Hispanics, U.S. Department of Education.

ACTION: Announcement of an open meeting.

SUMMARY: This notice sets forth the schedule and agenda of the eleventh meeting of the President's Advisory Commission on Educational Excellence for Hispanics (Commission). The notice also describes the functions of the Commission. Notice of the meeting is required by section 10(a)(2) of the Federal Advisory Committee Act and intended to notify the public of its opportunity to attend. In order to accommodate additional members of the public, the meeting venue was changed and therefore this notice is being posted in the **Federal Register** less than 15 days from the meeting date.

DATES: The President's Advisory Commission on Educational Excellence for Hispanics meeting will be held on Thursday, April 28, 2016 from 8:30 a.m.-2:45 p.m. Pacific Daylight Time.

ADDRESSES: California State University, Fullerton, Titan Student Union, Pavilion A, 800 N State College Blvd., Fullerton, CA 92831, 213-804-6185.

FOR FURTHER INFORMATION CONTACT: Emmanuel Caudillo, Senior Advisor, White House Initiative on Educational Excellence for Hispanics, 400 Maryland Ave. SW., Room 4W108, Washington, DC 20202; telephone: 202-401-1411.

SUPPLEMENTARY INFORMATION: The President's Advisory Commission on Educational Excellence for Hispanics Statutory Authority: The President's Advisory Commission on Educational Excellence for Hispanics (the Commission) is established by Executive Order 13555 (Oct. 19, 2010; continued on September 30, 2015 by Executive Order 13708). The Commission is governed by the provisions of the Federal Advisory Committee Act (FACA), (Pub. L. 92-463; as amended, 5 U.S.C.A., Appendix 2) which sets forth standards for the formation and use of advisory