

basis. Members of the public may also listen to the meeting by telephone by calling a domestic toll-free telephone or international toll or toll-free number to connect to a live, listen-only audio feed. Call-in participants should be prepared to provide their first name, last name, and affiliation.

*Domestic Toll Free:* 1-866-844-9416.

*International Toll and Toll Free:* Will be posted on the CFTC's Web site, <http://www.cftc.gov>, on the page for the meeting, under Related Documents.

*Pass Code/Pin Code:* 1519074.

After the meeting, a transcript of the meeting will be published through a link on the CFTC's Web site, <http://www.cftc.gov>. All written submissions provided to the CFTC in any form will also be published on the CFTC's Web site. Persons requiring special accommodations to attend the meeting because of a disability should notify the contact person above.

**Authority:** 5 U.S.C. app. 2 10(a)(2).

Dated: June 3, 2016.

**Robert N. Sidman,**

*Deputy Secretary of the Commission.*

[FR Doc. 2016-13622 Filed 6-8-16; 8:45 am]

**BILLING CODE 6351-01-P**

## COMMODITY FUTURES TRADING COMMISSION

### Agency Information Collection

#### Activities: Notice Requesting Approval of New Collection, CFTC SmartCheck Annual Campaign Impact Tracking Survey, 3038—NEW

**AGENCY:** Commodity Futures Trading Commission.

**ACTION:** Notice.

**SUMMARY:** The Commodity Futures Trading Commission ("CFTC" or "Commission") is announcing an opportunity for public comment on a proposed collection of information by the agency. Under the Paperwork Reduction Act ("PRA"), Federal agencies are required to publish notice in the **Federal Register** concerning each proposed collection of information and to allow 60 days for public comment. The CFTC's Office of Customer Education and Outreach (OCEO) develops campaigns to change customer behaviors, so that customers can better avoid fraud as defined under the Commodities Exchange Act. The OCEO intends to survey the public by identifying customers and determining if the CFTC's SmartCheck<sup>SM</sup> campaign is helping them to identify, avoid, and report financial fraud.

**DATES:** Comments must be submitted on or before August 8, 2016.

**ADDRESSES:** You may submit comments, regarding the burden estimated or any other aspect of the information collection, including suggestions for reducing the burden. Please refer to this notice in any correspondence. Comments, identified by "CFTC SmartCheck Annual Campaign Impact Tracking Survey," and Collection Number 3038—NEW may be submitted by any of the following methods:

- The Agency Web site, via its Comments Online process: <http://comments.cftc.gov>. Follow the instructions for submitting comments through the Web site.

- *Mail:* Christopher Kirkpatrick, Secretary of the Commission, Commodity Futures Trading Commission, 1155 21st Street NW., Washington, DC 20581.

- *Hand delivery/Courier:* Same as Mail above.

- *Federal eRulemaking Portal:* <http://www.regulations.gov/>. Follow the instructions for submitting comments.

Please submit your comments using only one method, and identify that it is for the "SmartCheck Campaign Annual Tracking Survey."

All comments must be submitted in English, or if not, accompanied by an English translation. Comments will be posted as received to <http://www.cftc.gov>. You should submit only information that you wish to make available publicly. If you wish the Commission to consider information that you believe is exempt from disclosure under the Freedom of Information Act, a petition for confidential treatment of the exempt information may be submitted according to the procedures established in § 145.9 of the Commission's regulations.<sup>1</sup>

The Commission reserves the right, but shall have no obligation, to review, pre-screen, filter, redact, refuse or remove any or all of your submission from <http://www.cftc.gov> that it may deem to be inappropriate for publication, such as obscene language. All submissions that have been redacted or removed that contain comments on the merits of the rulemaking will be retained in the public comment file and will be considered as required under the Administrative Procedure Act and other applicable laws, and may be accessible under the Freedom of Information Act.

#### FOR FURTHER INFORMATION CONTACT:

Nisha Smalls, Lead Customer Outreach Specialist, 202-418-5000, [consumers@cftc.gov](mailto:consumers@cftc.gov), Office of Customer Education and Outreach, Commodity Futures Trading Commission, Three Lafayette

Centre, 1151 21st Street NW., Washington, DC 20581.

**SUPPLEMENTARY INFORMATION:** Under the PRA, federal agencies must obtain approval from the Office of Management and Budget ("OMB") for each collection of information they collect or sponsor. "Collection of information" is defined in 44 U.S.C. 3502(3) as "the obtaining, causing to be obtained, soliciting . . . facts or opinions by or for an agency, regardless of form or format [from] ten or more persons." An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. 44 U.S.C. 3506(c). The Commission is submitting this collection of information to OMB for approval and assigning a collection number, pursuant to 5 CFR 1320.10.

Section 3506(c)(2)(A) of the PRA, 44 U.S.C. 3506(c)(2)(A), requires federal agencies to provide a 60-day notice in the **Federal Register** for each proposed collection of information before submitting the collection to OMB for approval. Under OMB regulations, which implement provisions of the PRA, certain "facts or opinions submitted in response to general solicitations of comments from the public, published in the **Federal Register** or other publications," 5 CFR 1320.3(h)(4), or "facts or opinions obtained or solicited at or in connection with public hearings or meetings," 5 CFR 1320.3(h)(8), are excluded from the OMB approval process.

*Title:* CFTC SmartCheck Annual Campaign Impact Tracking Survey (OMB Control No. 3038—NEW). This is a request for approval of a new collection.

*Abstract:* In 2010, the Dodd-Frank Act<sup>2</sup> expanded the Commission's authority to, among other matters related to regulatory oversight, establish funding of consumer education initiatives under its new Whistleblower authority.<sup>3</sup> Under this new authority, the Commission established an Office of Customer Education and Outreach ("OCEO") to, among other efforts, survey the public regarding consumer education initiatives.<sup>4</sup> This notice announces a public survey. This survey will include screening questions to identify the correct respondents and questions to determine if the CFTC's SmartCheck<sup>SM</sup> campaign is helping

<sup>2</sup> See Dodd-Frank Wall Street Reform and Consumer Protection Act, Public Law 111-203, 124 Stat. 1376 (2010). The text of the Dodd-Frank Act may be accessed at <http://www.cftc.gov/LawRegulation/DoddFrankAct/index.htm>.

<sup>3</sup> See 7 U.S.C. 26.

<sup>4</sup> See 17 CFR 165.12.

<sup>1</sup> 17 CFR 145.9.

customers identify, avoid, and report financial fraud.

The OCEO will use the information collected in the survey to refine the methods used to inform the public about how to best detect and report financial fraud. This will be done by creating a final summary report that includes key findings from the survey.

Findings from the summary report will be used to directionally inform the outreach efforts that the CFTC undertakes concerning helping customers avoid financial fraud.

The survey will be administered using an online survey tool. The online modality approach will allow presentation of test material to participants in a more convenient and time-efficient manner than other collection methods such as mail intercepts. The online method also allows for a quicker turnaround for data collection. No other collection methods will be used.

With respect to the collection of information, the CFTC invites comments on:

- Whether the proposed collection of information is necessary for the proper performance of the functions of the Commission, including whether the information will have a practical use;
- The accuracy of the Commission's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used;
- Ways to enhance the quality, usefulness, and clarity of the information to be collected; and
- Ways to minimize the burden of collection of information on those who are to respond, including through the use of appropriate automated electronic, mechanical, or other technological collection techniques or other forms of information technology; e.g., permitting electronic submission of responses.

You should submit only information that you wish to make available

publicly. If you wish the Commission to consider information that you believe is exempt from disclosure under the Freedom of Information Act, a petition for confidential treatment of the exempt information may be submitted according to the procedures established in § 145.9 of the Commission's regulations.<sup>5</sup>

*Burden Statement:* The screening questions will take about 1 minute to complete. It is anticipated that 4,000 people will be screened. The survey will take about 15 minutes. The cost of the screener survey will be approximately \$3,125, which equates to \$46.85 per burden hour. 2,000 people will take the 15 minute survey. The cost of the full survey will be approximately \$46,875, which equates to \$93.75 per burden hour. Based on these assumptions, the total burden hours will be 566.7 hours. The Commission estimates the average burden of this collection of information as follows:

ESTIMATED ANNUAL REPORTING BURDEN HOURS

	Annual reporting	Frequency	Hours per report	Total	
17 CFR 165.12 .....	4,000	1 response per respondent.	1 minute per response	4,000	66.7 hours, total burden.
17 CFR 165.12 .....	2,000	1 response per respondent.	15 minutes per response.	2,000	500 hours, burden hour.

The proposed survey questions appear below:

**CFTC SmartCheck Annual Campaign Impact Tracking Survey**

CFTC TARGET = Age 50–65; HH income 60k+; Answers 1 or 2 for question 1; Invests in 2 or more products in question 2

*Screener*

1. When it comes to family and personal investments like stocks, mutual funds, or other trading products, how likely are you to be involved in making decisions for your household?

1 .....	Very likely.
2 .....	Somewhat likely.
3 .....	Not too likely.
4 .....	Not at all likely.

2. Below is a list of financial products. Please select all that you currently are invested in or have invested in.

3 .....	Have you read, seen, or heard anything about the Commodity Futures Trading Commission (CFTC)?
4 .....	Have you read, seen, or heard anything about CFTC SmartCheck, a campaign that promotes expert tools and resources to check the background of financial professionals, learn how to avoid investment fraud, and report suspicious activity?

1 .....	Stocks or shares.
2 .....	Precious metals like gold or silver.
3 .....	Foreign currency trading (FOREX).
4 .....	Any type of futures or options.
5 .....	None of these [Single Punch (SP)].
6 .....	Don't know [SP].

*Survey*

[GRID, SP ACROSS]

Please answer yes or no to each of the following questions.

Yes	No
1	2

<sup>5</sup> 17 CFR 145.9.

5 .....	Have you read, seen, or heard anything about SmartCheck.gov, a Web site that links to databases which allow investors to check the background of financial professionals?
6 .....	Have you read, seen, or heard anything about Investor.gov, a Web site that allows you to check the background of investment adviser representatives and firms?
7 .....	Have you read, seen, or heard anything about BrokerCheck.org, a Web site that allows you to check the background of brokers who sell stocks, bonds, mutual funds and other securities?

[GRID, SP ACROSS. RANDOMIZE GRID ROWS]

Below are a number of actions that you may or may not be likely to

complete. Please indicate how likely or unlikely you are to complete the actions using the scale below.

If you were considering investing with someone you had not invested with before, how likely are you to:

Very likely	Somewhat likely	Not likely or unlikely	Not too likely	Not at all likely
1	2	3	4	5

8 .....	Review performance history.
9 .....	Talk to references and/or past clients.
10 .....	Confirm certifications and/or education.
11 .....	Perform a general Internet search.
12 .....	Check disciplinary history with an official financial regulator.
13 .....	Check licensing and/or registration status with an official financial regulator.
14 .....	Personally interview.
15 .....	Check job affiliations with an official financial regulator.
16 .....	None of these/don't know [SP].

likely would you be to report it to a government financial agency such as the U.S. Commodity Futures Trading Commission (CFTC) or the U.S. Securities and Exchange Commission (SEC)?

1 .....	Very likely.
2 .....	Somewhat likely.
3 .....	Not too likely.
4 .....	Not at all likely.

[SP]

19. If you became aware that an investment you were *already a part of* was fraudulent, how likely would you be to report it to a government financial agency such as the U.S. Commodity Futures Trading Commission (CFTC) or the U.S. Securities and Exchange Commission (SEC)?

1 .....	Very likely.
2 .....	Somewhat likely.
3 .....	Not too likely.
4 .....	Not at all likely.

[SP]

17. Generally speaking, how concerned are you about unknowingly being part of a fraudulent investment?

1 .....	Very concerned.
2 .....	Somewhat concerned.
3 .....	Not too concerned.
4 .....	Not at all concerned.

[SP]

18. If you *suspected* an investment you were aware of was fraudulent, how

Strongly disagree	Somewhat disagree	Neither agree nor disagree	Somewhat agree	Strongly agree
1	2	3	4	5

21 .....	It is important to know the common signs of fraud when investing.
22 .....	The government reviews and investigates all alleged reports of investment fraud.
23 .....	I know where to go to report suspected or known incidents of investment fraud.
24 .....	I know where to go to check the background of a financial professional.
25 .....	<i>Before I invest</i> , it is important to check the registration and/or licensing status of a financial professional.
26 .....	<i>Each year</i> , it is important to check the registration and/or licensing of a financial professional with whom I invest.
27 .....	<i>Before I invest</i> , it is important to check the disciplinary history of a financial professional.
28 .....	<i>Each year</i> , it is important to check the disciplinary history of a financial professional.

[MP; RANDOMIZE LEAVING LAST 1 AT THE END]

20. Which, if any, of the following do you think are potential signs of investment fraud? If the person selling the investment . . .

1 .....	said the investment has a guaranteed rate of return.
2 .....	said s/he has a special credential, so they can be trusted.
3 .....	said that many of your friends have already invested in the opportunity.
4 .....	was willing to charge you half of the regular commission.
5 .....	said the opportunity was closing soon, so it was important to act quickly.
6 .....	None of these [SP].

[GRID, SP ACROSS. RANDOMIZE GRID ROWS]

Below are a number of statements with which you may or may not agree. Please indicate how much you agree or disagree with each statement.

**DEPARTMENT OF ENERGY****Federal Energy Regulatory Commission**

[Project No. 14782-000]

**Energy Resources USA Inc.; Notice of Preliminary Permit Application Accepted for Filing and Soliciting Comments, Motions To Intervene, and Competing Applications**

On May 4, 2016, Energy Resources USA Inc. filed an application for a preliminary permit, pursuant to section 4(f) of the Federal Power Act (FPA), proposing to study the feasibility of the R.D. Bailey Dam Hydroelectric Project (R.D. Bailey Project or project) to be located at the existing U.S. Army Corps of Engineers' R.D. Bailey Dam on the Guyandotte River in Mingo and Wyoming Counties, West Virginia. The sole purpose of a preliminary permit, if issued, is to grant the permit holder priority to file a license application during the permit term. A preliminary permit does not authorize the permit holder to perform any land-disturbing activities or otherwise enter upon lands or waters owned by others without the owners' express permission.

The proposed project would consist of the following: (1) A new 90-foot-long by 45-foot-wide reinforced concrete powerhouse to be located downstream on the tailrace side of R.D. Bailey Dam; (2) a new 12-foot by 9-foot by 250-foot-long concrete conduit connecting the existing outlet tunnel with the powerhouse; (3) two 5-megawatt (MW) vertical Kaplan turbine-generator units with a total generating capacity of 10 MW; (4) a new 120-foot-long by 80-foot-wide tailrace; (5) a new 60-foot-long by 50-foot-wide substation with a 10-mega-volt-ampere 4.16/69-kilovolt (kV) three-phase step-up transformer; (6) a new 0.6-mile-long, 69-kV transmission line; and (7) appurtenant facilities. The R.D. Bailey Project would have an estimated annual generation of 38.5 gigawatt-hours.

*Applicant Contact:* Mr. Ander Gonzalez, Energy Resources USA Inc., 350 Lincoln Road, 2nd Floor, Miami, FL 33139; telephone (954) 248-8425.

*FERC Contact:* Monir Chowdhury; phone: (202) 502-6736.

*Deadline for filing comments, motions to intervene, competing applications (without notices of intent), or notices of intent to file competing applications:* 60 days from the issuance of this notice. Competing applications and notices of intent must meet the requirements of 18 CFR 4.36.

The Commission strongly encourages electronic filing. Please file comments, motions to intervene, notices of intent, and competing applications using the Commission's eFiling system at <http://www.ferc.gov/docs-filing/efiling.asp>. Commenters can submit brief comments up to 6,000 characters, without prior registration, using the eComment system at <http://www.ferc.gov/docs-filing/ecomment.asp>. You must include your name and contact information at the end of your comments. For assistance, please contact FERC Online Support at [FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov), (866) 208-3676 (toll free), or (202) 502-8659 (TTY). In lieu of electronic filing, please send a paper copy to: Secretary, Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC 20426. The first page of any filing should include docket number P-14782-000.

More information about this project, including a copy of the application, can be viewed or printed on the "eLibrary" link of the Commission's Web site at <http://www.ferc.gov/docs-filing/elibrary.asp>. Enter the docket number (P-14782) in the docket number field to access the document. For assistance, contact FERC Online Support.

Dated: June 2, 2016.

**Kimberly D. Bose,**  
Secretary.

[FR Doc. 2016-13648 Filed 6-8-16; 8:45 am]

BILLING CODE 6717-01-P

**DEPARTMENT OF ENERGY****Federal Energy Regulatory Commission**

[Project No. 13757-002; Project No. 13761-002; Project No. 13768-002]

**FFP Missouri 5, LLC; FFP Missouri 6, LLC; Solia 6 Hydroelectric, LLC; Notice of Availability of Environmental Assessment**

In accordance with the National Environmental Policy Act of 1969 and the Federal Energy Regulatory Commission's (Commission or FERC) regulations, 18 Code of Federal Regulations Part 380, Office of Energy Projects staff has reviewed applications for original licenses for the Emsworth Locks and Dam Hydroelectric Project (FERC No. 13757-002), Emsworth Back Channel Hydroelectric Project (FERC No. 13761-002), and Montgomery Locks and Dam Hydroelectric Project (FERC No. 13768-002) on the Ohio River. These projects are referred to collectively as the Ohio River Projects.

The projects would all be located at existing locks and dams owned by the U.S. Army Corps of Engineers. The Emsworth Locks and Dam Hydroelectric Project would be located on the Ohio River near Emsworth, Pennsylvania, in Allegheny County at river mile (RM) 6.2. The Emsworth Back Channel Hydroelectric Project would be located on the Ohio River near Coraopolis, Pennsylvania, in Allegheny County at RM 6.8. The Montgomery Locks and Dam Hydroelectric Project would be located on the Ohio River downstream of Monaca, Pennsylvania, in Beaver County at RM 31.7. The projects would collectively occupy 17.1 acres of federal land.

Staff has prepared a multi-project environmental assessment (EA) that analyzes the potential environmental effects of the three projects and concludes that constructing and operating the projects, with appropriate environmental protection measures, would not constitute a major federal action that would significantly affect the quality of the human environment.

A copy of the EA is available for review at the Commission in the Public Reference Room or may be viewed on the Commission's Web site at [www.ferc.gov](http://www.ferc.gov) using the "eLibrary" link. Enter the docket number, excluding the last three digits, in the docket number field to access the document. For assistance, contact FERC Online Support at [FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov) or toll-free at 1-866-208-3676, or for TTY, (202) 502-8659.

You may also register online at <http://www.ferc.gov/docs-filing/esubscription.asp> to be notified via email of new filings and issuances related to these or other pending projects. For assistance, contact FERC Online Support.

Any comments should be filed within 30 days from the date of this notice. The Commission strongly encourages electronic filing. Please file comments using the Commission's eFiling system at <http://www.ferc.gov/docs-filing/efiling.asp>. For assistance, please contact FERC Online Support at [FERCOnlineSupport@ferc.gov](mailto:FERCOnlineSupport@ferc.gov) or toll-free at 1-866-208-3676, or for TTY, 202-502-8659. In lieu of electronic filing, please send a paper copy to: Secretary, Federal Energy Regulatory Commission, 888 First Street NE., Washington, DC 20426. The first page of any filing should include: "Emsworth Locks and Dam Hydroelectric Project No. 13757-002,