CC-5610 (Annex D), Washington, DC 20580, or deliver your comment to the following address: Federal Trade Commission, Office of the Secretary, Constitution Center, 400 7th Street SW., 5th Floor, Suite 5610 (Annex D), Washington, DC 20024. If possible, submit your paper comment to the Commission by courier or overnight service.

Visit the Commission Web site at http://www.ftc.gov to read this Notice and the news release describing it. The FTC Act and other laws that the Commission administers permit the collection of public comments to consider and use in this proceeding as appropriate. The Commission will consider all timely and responsive public comments that it receives on or before September 6, 2016. You can find more information, including routine uses permitted by the Privacy Act, in the Commission's privacy policy, at http://www.ftc.gov/ftc/privacy.htm.

# Analysis of Proposed Consent Order To Aid Public Comment

The Federal Trade Commission ("FTC" or "Commission") has accepted, subject to final approval, an Agreement Containing Consent Order from Mars Petcare US, Inc. ("respondent"). The proposed consent order has been placed on the public record for thirty (30) days for receipt of comments by interested persons. Comments received during this period will become part of the public record. After thirty (30) days, the Commission will again review the agreement and the comments received. and will decide whether it should withdraw from the agreement and take appropriate action or make final the agreement's proposed order.

This matter involves the advertising, marketing, and sale by respondent of dog food under the Eukanuba brand. Respondent has marketed its Eukanuba brand dog foods through retail outlets. According to the FTC complaint, respondent claimed that its dog food could increase the longevity of dogs by

30 percent or more.

Specifically, the FTC complaint alleges that respondent represented that dogs in a ten-year study that were fed Eukanuba brand dog food and received proper care lived exceptionally long lives—including 30 percent or more longer than their typical lifespan. The complaint alleges that these claims are

false or unsubstantiated and thus violate the FTC Act. The complaint also alleges that respondent represented that scientific tests prove that feeding dogs its Eukanuba brand dog food can enable dogs to live exceptionally long lives or to live 30 percent or more longer than their typical lifespan. The complaint alleges that these claims are false and thus violate the FTC Act.

The proposed consent order contains provisions designed to prevent respondent from engaging in similar acts or practices in the future. Specifically, Part I addresses the unsubstantiated claims alleged in the complaint. Part I prohibits respondent from making misleading or unsubstantiated representations that its Eukanuba-brand pet foods or any other pet food can enable dogs to live 30 percent or more longer than their typical lifespan or live exceptionally long lives. It also prohibits respondent from making misleading or unsubstantiated claims regarding the health benefits of any pet food. It requires that respondent possesses and relies upon "competent and reliable scientific evidence" to substantiate any such representation.

Part II of the proposed order addresses the allegedly false claims that scientific tests prove that feeding dogs respondent's Eukanuba brand dog food can enable dogs to live 30 percent or more longer or substantially longer than their typical lifespan. Part II prohibits respondent, when advertising any pet food, from misrepresenting the existence, contents, validity, results, conclusions, or interpretations of any test, study, or research, or misrepresenting that any health benefits of the pet food are scientifically proven.

Parts III–VI of the proposed order contain compliance and recordkeeping requirements. Part III requires respondent acknowledge receipt of the order, to provide a copy of the order to certain current and future principals, officers, directors and employees, and to obtain an acknowledgement from each such person that they have received a copy of the order. Part IV requires the filing of compliance reports within one year after the order becomes final and within 14 days of any change in respondent that would affect compliance with the order. Part V requires respondent to maintain certain records, including records necessary to demonstrate compliance with the order.

Part VI requires respondent to submit additional compliance reports when requested by the Commission and to permit the Commission or its representatives to interview respondent's personnel. Finally, Part VII provides that the order will terminate after twenty (20) years, with certain exceptions.

The purpose of this analysis is to facilitate public comment on the proposed order, and it is not intended to constitute an official interpretation of the complaint and proposed order or to modify the proposed order's terms in any way.

By direction of the Commission.

# Donald S. Clark,

Secretary.

[FR Doc. 2016-18906 Filed 8-9-16; 8:45 am]

BILLING CODE 6750-01-P

# FEDERAL TRADE COMMISSION

# Granting of Request for Early **Termination of the Waiting Period Under the Premerger**

# **Notification Rules**

Section 7A of the Clayton Act, 15 U.S.C. 18a, as added by Title II of the Hart-Scott-Rodino Antitrust Improvements Act of 1976, requires persons contemplating certain mergers or acquisitions to give the Federal Trade Commission and the Assistant Attorney General advance notice and to wait designated periods before consummation of such plans. Section 7A(b)(2) of the Act permits the agencies, in individual cases, to terminate this waiting period prior to its expiration and requires that notice of this action be published in the Federal Register.

The following transactions were granted early termination—on the dates indicated—of the waiting period provided by law and the premerger notification rules. The listing for each transaction includes the transaction number and the parties to the transaction. The grants were made by the Federal Trade Commission and the Assistant Attorney General for the Antitrust Division of the Department of Justice. Neither agency intends to take any action with respect to these proposed acquisitions during the applicable waiting period.

# **EARLY TERMINATIONS GRANTED**

### April 1, 2016 thru April 30, 2016 04/01/2016

	EARLY TERMINATIONS GRANTED—Continued			
20160887	G G G G G G G	Nordic Capital VIII Beta, L.P.; Genstar Capital Partners VI, L.P.; Nordic Capital VIII Beta, L.P. EMCOR Group, Inc.; Cadent Energy Partners II, L.P.; EMCOR Group, Inc.  AEA Investors Fund V LP; Audax Private Equity Fund III, L.P.; AEA Investors Fund V LP.  Stuart W. Lang; Checkpoint Systems, Inc.; Stuart W. Lang.  Donald G. Lang; Checkpoint Systems, Inc.; Donald G. Lang.  Micro Focus International plc; HGGC Fund II, L.P.; Micro Focus International plc.  UACJ Corporation; Yogen Rahangdale; UACJ Corporation.  AbbVie Inc.; C.H. Boehringer Sohn AG & Co. KG; AbbVie Inc.  Dynegy Inc.; ENGIE S.A.; Dynegy Inc.		
20100021	<u> </u>	04/04/2016		
20160862	G	VCA Inc.; Companion Animal Practices, North America; VCA Inc.		
20160922	Ğ	Precision Medicine Group, Inc.; Patricia Devitt Risse; Precision Medicine Group, Inc.		
	I	04/05/2016		
20160917	G	Nestle S.A.; Guthy-Renker Partners, Inc.; Nestle S.A.		
	Ī	04/06/2016		
20160875 20160894	G G	Searchlight Capital II PV, L.P.; Prospect Capital Corporation; Searchlight Capital II PV, L.P. Celtic Holdings I Limited; County Line Pharmaceuticals, LLC; Celtic Holdings I Limited.		
		04/07/2016		
20160105 20160907	G G	Gannett Co., Inc.; Journal Media Group, Inc; Gannett Co., Inc. TBC Offshore Ltd.; Cypress Semiconductor Corporation; TBC Offshore Ltd.		
		04/08/2016		
20160852	000000000000	Deutsche Telekom AG; Telapex, Inc.; Deutsche Telekom AG. Deutsche Telekom AG; Continuum 700 LLC; Deutsche Telekom AG. Deutsche Telekom AG; Cavalier Wireless, LLC; Deutsche Telekom AG. Alejandro Weinstein; Justin and Shila Farmer; Alejandro Weinstein. Reyes Holdings, L.L.C.; The Coca-Cola Company; Reyes Holdings, L.L.C. Jose Minski; Justin and Shila Farmer; Jose Minski. Dustin Moskovitz; Asana, Inc.; Dustin Moskovitz. Ningbo Huaxiang Electronic Co., Ltd.; Xiaofeng Zhou; Ningbo Huaxiang Electronic Co., Ltd. Kyocera Corporation; Thomas J. Haag; Kyocera Corporation. Stantec Inc.; MWH Global, Inc.; Stantec Inc. Alejandro Weinstein; Ken and Susan Whitman; Alejandro Weinstein. Jose Minski; Ken and Susan Whitman; Jose Minski.		
		04/11/2016		
20160946 20160951	20160946 G WL Ross Holding Corp.; TPG VI DE AIV II, L.P.; WL Ross Holding Corp. 20160951 G Wilco Acquisition, LP; ATI Physical Therapy Holdings, LLC; Wilco Acquisition, L.P.  04/12/2016			
20151212	G	Iron Mountain Incorporated; Recall Holdings Limited; Iron Mountain Incorporated.		
	1	04/13/2016		
20160932 20160936 20160945 20160950	G G G	Pinnacle Entertainment, Inc.; Cannery Casino Resorts, LLC; Pinnacle Entertainment, Inc. Terawatt Holdings, LP; Dynegy Inc.; Terawatt Holdings, L.P. Harbert Power Fund V, LLC; LS Power Equity Partners II, L.P.; Harbert Power Fund V, LLC. EQT VII (No. 1) Limited Partnership; D2 ApS; EQT VII (No. 1) Limited Partnership.		
	04/15/2016			
20160924 20160929 20160959 20160960 20160968	G G G G G	Allscripts Healthcare Solutions, Inc.; Genstar Capital Partners V, L.P.; Allscripts Healthcare Solutions, Inc. Fiera Capital Corporation; Nitin Kumbhani; Fiera Capital Corporation.  Terrence Cole; Enhanced Equity Fund, L.P.; Terrence Cole.  Mark Steinberg; Enhanced Equity Fund, L.P.; Mark Steinberg.  Ally Financial Inc.; TradeKing Group, Inc.; Ally Financial Inc.  Steve S. Hong; Ennis, Inc.; Steve S. Hong.		
04/18/2016				
20160785 20160949 20160992	G G G	PBF Energy Inc.; Plains All American Pipeline, L.P.; PBF Energy Inc. CHRISTUS Health; Trinity Mother Frances Health System; CHRISTUS Health. Oskar Blues Brewery Holding Co LLC; Joseph Jay Michael Redner; Oskar Blues Brewery Holding Co LLC.		
·		· · · · · · · · · · · · · · · · · · ·		

0160919 0160935 0160937 0160952 0160953 0160954	G Godrej & Boyce Manufacturing Company Limited; Strength of Nature, LLC; Godrej & Boyce Manufacturing Company Limited.  04/20/2016  G Starboard Leaders Fund LP; Yahoo! Inc.; Starboard Leaders Fund LP. Nasdaq, Inc.; Deutsche Borse AG; Nasdaq, Inc. G Mercury Systems, Inc.; Microsemi Corporation; Mercury Systems, Inc.
0160919 0160935 0160937 0160952 0160953 0160954	Limited.  04/20/2016  G Starboard Leaders Fund LP; Yahoo! Inc.; Starboard Leaders Fund LP. Nasdaq, Inc.; Deutsche Borse AG; Nasdaq, Inc. Mercury Systems, Inc.; Microsemi Corporation; Mercury Systems, Inc.
0160935	G Starboard Leaders Fund LP; Yahoo! Inc.; Starboard Leaders Fund LP. Nasdaq, Inc.; Deutsche Borse AG; Nasdaq, Inc. Mercury Systems, Inc.; Microsemi Corporation; Mercury Systems, Inc.
0160935	G Nasdaq, Inc.; Deutsche Borse AG; Nasdaq, Inc. G Mercury Systems, Inc.; Microsemi Corporation; Mercury Systems, Inc.
0160937	G Mercury Systems, Inc.; Microsemi Corporation; Mercury Systems, Inc.
0160952 0160953 0160954	
0160954	G Markit Ltd.; IHS Inc.; Markit Ltd.
	G SA Compagnie Industrielle de Delle; Alcoa, Inc.; SA Compagnie Industrielle de Delle.
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	G TransCanada Corporation; Dominion Resources, Inc; TransCanada Corporation.  NVLX Holdings, LLC; Carl A. Allen; NVLX Holdings, LLC.
	04/21/2016
0160930	G KKR North America Fund XI, L.P.; Marvell Technology Group Ltd.; KKR North America Fund XI, L.P.
	04/22/2016
0160974	G International Business Machines Corporation; RLH Bluewolf Holding LLC; International Business Machines Corp
0160994	G Halyard Health, Inc.; Linden Capital Partners LP; Halyard Health, Inc.
	G CEB Inc.; Leeds Equity Partners V, L.P.; CEB Inc.
	G Sheser Creek Company LLC; Single Source, Inc.; Sheser Creek Company LLC. G Foundation Capital V, L.P.; Venafi, Inc.; Foundation Capital V, L.P.
	G Richard G. Haworth; Janice Kercham Feldman; Richard G. Haworth.
0161009	G Steel Partners Holdings, L.P.; SL Industries, Inc.; Steel Partners Holdings, L.P.
	G Legrand S.A.; Michael K. Moore; Legrand S.A.
	G The Yokohama Rubber Co., Ltd.; KKR Asian Fund L.P.; The Yokohama Rubber Co., Ltd. Coast Range Buyer, LLC; Campbell Timber Fund II, L.P.; Coast Range Buyer, LLC.
	04/25/2016
0160928	G Oak Hill Capital Partners IV (Onshore), L.P.; Riverside Fund IV, LP; Oak Hill Capital Partners IV (Onshore), L.P.
	G Rosa Anna Magno Garavoglia; Societe des Produits Marnier-Lapostolle; Rosa Anna Magno Garavoglia.
	G Arsenal Capital Partners III LP; Robert Marc Skalla; Arsenal Capital Partners III LP.
	<ul> <li>G Arsenal Capital Partners III LP; Russell Eugene Skalla; Arsenal Capital Partners III LP.</li> <li>G ABRY Partners VIII, L.P.; Cerca Acquisitions I, LLC; ABRY Partners VIII, L.P.</li> </ul>
	04/26/2016
0160972	G Nasdaq, Inc.; BoardVantage, Inc.; Nasdaq, Inc.
	04/28/2016
	G Compagnie De Saint-Gobain; Schenker-Winkler Holding AG; Compagnie De Saint-Gobain. G Brocade Communications Systems, Inc.; Ruckus Wireless, Inc.; Brocade Communications Systems, Inc.
7101012	04/29/2016
0161000	G FinTech Acquisition Corp.; FTVentures III, L.P.; FinTech Acquisition Corp.
	G Corning Incorporated; Alliance Fiber Optic Products, Inc.; Corning Incorporated.
	May 1, 2016 thru May 31, 2016 05/03/2016
0161006	G Al Global Investments & Cy SCA; Nuplex Industries Limited; Al Global Investments & Cy SCA.
0161014	G Providence Equity Partners VII USRPHC L.P.; EdgeConnex, Inc.; Providence Equity Partners VII USRPHC L.P.
	<ul> <li>G Peak Rock Capital Fund LP; Hormel Foods Corporation; Peak Rock Capital Fund LP.</li> <li>G BBH Capital Partners QP IV, L.P.; EdgeConnex, Inc.; BBH Capital Partners QP IV, L.P.</li> </ul>
7101040	05/06/2016
0160971	G Berwind Corporation; Mangar Industries, Inc.; Berwind Corporation.
	G Nippon Telegraph and Telephone Corporation; Denali Holding Inc.; Nippon Telegraph and Telephone Corporation
0161020	G Tsinghua Holdings Co., Ltd.; Marvell Technology Group Ltd.; Tsinghua Holdings Co., Ltd.
	KBHS Group Holdings, LLC; American Capital, Ltd.; KBHS Group Holdings, LLC.
0161039	G Riverstone/Carlyle Global Energy and Power Fund IV (FT), L.P; Sanjel Corporation; Riverstone/Carlyle Global Energy and Power Fund IV (FT), L.P.
0161040	

# EARLY TERMINATIONS GRANTED—Continued

		05/09/2016
20160856 20161052		United Natural Foods, Inc.; David H. Anderson, Sr.; United Natural Foods, Inc. Restoration Hardware Holdings, Inc.; Design Investors WW Acquisition Company, LLC; Restoration Hardware Holdings, Inc.
20161055 20161056		AXA LBO Fund V Supplementary FPCI; Halifax Capital Partners III, L.P.; AXA LBO Fund V Supplementary FPCI.  Blackstone Capital Partners (Cayman II) VI L.P.; Hewlett Packard Enterprise Co.; Blackstone Capital Partners (Cayman II) VI L.P.
20161057		Roger S. Penske; Francis I. McGowen; Roger S. Penske.
20161058		Blue Sea Capital Fund I LP; NSi Holdings, Inc.; Blue Sea Capital Fund I LP.
20161059		Merit Energy Investments, LP; Marathon Oil Corporation; Merit Energy Investments, LP.
20161060 20161061		Merit 2014 MMGI, LP; Marathon Oil Corporation; Merit 2014 MMGI, LP. Assured Guaranty Ltd.; CIFG Holding Inc.; Assured Guaranty Ltd.
20161067		Glenn B. Cooke; Paine & Partners Capital Fund III AIV, L.P.; Glenn B. Cooke.
20161069	G	Now Inc.; Tony S. Cercy; Now Inc.
20161070 20161075		Unum Group; H&J Capital, LLC; Unum Group. Hennessy Capital Acquisition Corp. II; USI Senior Holdings, Inc.; Hennessy Capital Acquisition Corp. II.
		05/10/2016
20160888		McKesson Corporation; Frazier Healthcare VI, L.P.; McKesson Corporation.
20161010		Century Tokyo Leasing Corporation; CSI Leasing, Inc.; Century Tokyo Leasing Corporation.
20161026 20161065		Thomas M. Rutledge; CCH I, LLC; Thomas M. Rutledge. Ford Motor Company; EMC Corporation; Ford Motor Company.
20161084		GP Investments Acquisition Corp.; WKI Holding Company, Inc.; GP Investments Acquisition Corp.
		05/12/2016
20161028 20161029		JANA Offshore Partners, Ltd.; Team Health Holdings, Inc.; JANA Offshore Partners, Ltd.  JANA Nirvana Offshore Fund, Ltd.; Team Health Holdings, Inc.; JANA Nirvana Offshore Fund, Ltd.
20101029	u	05/13/2016
0010000		
20160999 20161047		Kestra Financial Holdings LP; Madison Dearborn Capital Partners VI–A, L.P.; Kestra Financial Holdings LP. Huatai Securities Co., Ltd.; AqGen Liberty Holdings LLC; Huatai Securities Co., Ltd.
20161048		Allergan plc; Sosei Group Corporation; Allergan plc.
20161094		Linsalata Capital Partners Fund VI, L.P.; Eliezer Elbaz; Linsalata Capital Partners Fund VI, L.P.
20161095		Linsalata Capital Partners Fund VI, L.P.; Sol Bonan; Linsalata Capital Partners Fund VI, L.P.
20161100		Genstar Capital Partners VII, L.P.; Windjammer Senior Equity Fund III, L.P.; Genstar Capital Partners VII, L.P.
20161105		ABRY Partners VIII, L.P.; Oliver Street Dermatology Holdings, LLC; ABRY Partners VIII, L.P.
20161112 20161114		Polaris Investment Holdings, L.P.; MPH Acquisition Holdco, L.P.; Polaris Investment Holdings, L.P. Mitel Networks Corporation; Polycom, Inc.; Mitel Networks Corporation.
	'	05/16/2016
20161031		State Street Corporation; General Electric Company; State Street Corporation.
20161106 20161131	_	Stichting Administratiekantoor Westend; Clearlake Capital Partners III, L.P.; Stichting Administratiekantoor Westend. GI Partners Fund IV L.P.; Far Niente Wine Estates LLC; GI Partners Fund IV L.P.
	1	05/17/2016
20160964	G	TransCanada Corporation; Columbia Pipeline Group, Inc.; TransCanada Corporation.
20161035		Mr. Tianqiao Chen and Ms. Chrissy Qian Qian Luo; Sotheby's; Mr. Tianqiao Chen and Ms. Chrissy Qian Qian Luo.
20161053		The Hearst Family Trust; Complex Media, Inc.; The Hearst Family Trust.
20161054 20161092		Verizon Communications Inc.; Complex Media, Inc.; Verizon Communications Inc. SES S.A.; O3b Networks Limited; SES S.A.
20161097		Kelso Hammer Co-Investment, L.P.; Carolyn A. Swanson; Kelso Hammer Co-Investment, L.P.
20161102		Oracle Corporation; Opower, Inc.; Oracle Corporation.
20161107	G	Tokyo Electric Power Company Holdings, Incorporated; JERA Co., Inc.; Tokyo Electric Power Company Holdings, Incorporated.
20161113 20161125		Marlin IV Cayman AIV, L.P.; Teradata Corporation; Marlin IV Cayman AIV, L.P. LANXESS AG; The Chemours Company; LANXESS AG.
		05/18/2016
20161108 20161111		Investindustrial V, L.P.; Investindustrial III, L.P.; Investindustrial V, L.P. Investindustrial V, L.P.; Reichhold Cayman LP; Investindustrial V, L.P.
		05/19/2016
20161068	G	Cardinal Health Inc.; Curaspan Health Group, Inc.; Cardinal Health Inc.
20161076	G	Rexnord Corporation; Industrial Growth Partners IV, L.P.; Rexnord Corporation.
20161081		Omnicom Group Inc.; Southfield BioPharm Investment, LLC; Omnicom Group Inc.
20161085		Zhuhai Hengxin Fengye Technology LLC; Lexmark International, Inc.; Zhuhai Hengxin Fengye Technology LLC.
20161109	ı G	Gildan Activewear Inc.; Ennis, Inc.; Gildan Activewear Inc.

EARLY TERMINATIONS GRANTED—Continued					
20161118 20161127	G G	TPG Partners VI, L.P.; Endo International plc; TPG Partners VI, L.P. Seven & i Holdings Co., Ltd.; CST Brands, Inc.; Seven & i Holdings Co., Ltd.			
	05/20/2016				
20161103		The Veritas Capital Fund V, L.P.; Verisk Analytics, Inc.; The Veritas Capital Fund V, L.P. Oracle Corporation; Textura Corporation; Oracle Corporation. Stabilus S.A.; AB SKF; Stabilus S.A. Todd L. Boehly; Eldridge Investors, LLC; Todd L. Boehly. Genstar Capital Partners VII, L.P.; IHS Inc.; Genstar Capital Partners VII, L.P. Vonage Holdings Corp.; Nexmo Inc.; Vonage Holdings Corp. Hormel Foods Corporation; Justin's, LLC; Hormel Foods Corporation. RoundTable Healthcare Partners IV, L.P.; Symmetry Surgical Inc.; RoundTable Healthcare Partners IV, L.P. Clayton, Dubilier & Rice Fund IX, L.P.; Kalle Luxembourg S.a.r.I.; Clayton, Dubilier & Rice Fund IX, L.P. Sinocare Inc.; Polymer Technology Systems, Inc.; Sinocare Inc. Nippon Steel & Sumitomo Metal Corporation; Nisshin Steel Co., Ltd.; Nippon Steel & Sumitomo Metal Corporation.			
		05/23/2016			
20161110 20161140	G G	Francisco Partners III (Cayman), L.P.; Brambles Limited; Francisco Partners III (Cayman), L.P. QMP Insurance Holdings, LLC; Old National Bancorp; QMP Insurance Holdings, LLC.			
	T	05/24/2016			
20161087 20161137	G G	Energy Capital Partners III-A, LP; Sunnova Energy Corporation; Energy Capital Partners III-A, LP. One Rock Capital Partners, LP; Chevron Corporation; One Rock Capital Partners, LP.			
		05/25/2016			
20161088 20161090 20161091 20161151 20161161		AbbVie Inc.; Stemcentrx, Inc.; AbbVie Inc. Brian Slingerland and Emily Paige Adams; AbbVie Inc.; Brian Slingerland and Emily Paige Adams. Scott J. Dylla and Melodie Dylla; AbbVie Inc.; Scott J. Dylla and Melodie Dylla. Clearlake Capital Partners IV, L.P.; Thoma Cressey Fund VIII, L.P.; Clearlake Capital Partners IV, L.P. EQT Infrastructure II Limited Partnership; Littlejohn Fund IV, L.P.; EQT Infrastructure II Limited Partnership.			
	1	05/26/2016			
20161062 20161139	G G	Glen A. Taylor; Staples Inc.; Glen A. Taylor. U.S. TelePacific Holdings Corp.; DSCI Holdings Corporation; U.S. TelePacific Holdings Corp.			
		05/27/2016			
20161064 20161072 20161173 20161175 20161177 20161182 20161183	G G G	Apax VIII-B L.P.; Accenture plc; Apax VIII-B L.P. XIO Fund I LP; S&P Global Inc.; XIO Fund I LP. L.S. Power Equity Partners III, L.P.; NRG Energy, Inc.; L.S. Power Equity Partners III, L.P. Michael F. Neidorff; Centene Corporation; Michael F. Neidorff. Clayton Dubilier & Rice Fund IX, L.P.; Brynwood Partners VI L.P.; Clayton Dubilier & Rice Fund IX, L.P. Carl C. Icahn; Allergan plc; Carl C. Icahn. Spire Inc.; Sempra Energy; Spire Inc.			
	June 1, 2016 thru June 30, 2016 06/01/2016				
20161155 20161188 20161189 20161198		Aon plc; Onex Partners III LP; Aon plc. Sinochem Group; American Securities Partners VI, L.P.; Sinochem Group. Olympus Growth Fund VI, L.P.; Road Infrastructure Investment Holdings, Inc.; Olympus Growth Fund VI, L.P. FS Equity Partners VII, L.P.; Audax Private Equity Fund III, L.P.; FS Equity Partners VII, L.P.			
	06/02/2016				
20161063 20161096 20161126 20161203		Hainan Cihang Charitable Foundation; Ingram Micro Inc.; Hainan Cihang Charitable Foundation. Great Hill Equity Partners V, L.P.; EvolveIP Holdings, LLC; Great Hill Equity Partners V, L.P. Ironwood Pharmaceuticals, Inc.; AstraZeneca PLC; Ironwood Pharmaceuticals, Inc. Energizer Holdings, Inc.; Trivest Fund V, L.P.; Energizer Holdings, Inc.			
	I	06/03/2016			
20161146 20161147 20161185 20161197	G G G	Hitachi, Ltd.; Jeffrey D. Cowan; Hitachi, Ltd. Hitachi, Ltd.; Gregory E. Larson; Hitachi, Ltd. BDCM Opportunity Fund IV, L.P.; Investindustrial V, L.P.; BDCM Opportunity Fund IV, L.P. Thomas Jefferson University; Rothman Specialty Hospital Investment, LLC; Thomas Jefferson University.			
06/06/2016					
20161156	G	Global Eagle Entertainment Inc.; ABRY Partners VII, L.P.; Global Eagle Entertainment Inc.			

20161283 .....

20161287 ......

20161292 ......

G

G

#### EARLY TERMINATIONS GRANTED—Continued 20161164 ..... Mylan N.V.; RoundTable Healthcare Partners III, L.P.; Mylan N.V. 20161174 ...... Corvex Master Fund LP; Pandora Media, Inc.; Corvex Master Fund LP. G General Atlantic Partners (Bermuda) III, L.P.; Argus Media Limited; General Atlantic Partners (Bermuda) III, L.P. 20161201 ..... G 20161204 ...... G Dr. Guanqiu Lu; The Superior Fund, L.P.; Dr. Guanqiu Lu. 20161209 ...... TransDigm Group Incorporated; Mr. Clifford Lane; TransDigm Group Incorporated. G Magellan Health, Inc.; Armed Forces Services Corporation; Magellan Health, Inc. Snow Phipps II AIV, L.P.; BVIP Fund VIII, L.P.; Snow Phipps II AIV, L.P. 20161210 ...... G 20161211 ...... G 20161213 ..... Melinda K. Holman; Gregory A. Goodwin; Melinda K. Holman. G 20161214 ...... G California Credit Union; North Island Financial Credit Union; California Credit Union. 20161225 ...... HNVR Jerseyco Limited; TUI AG; HNVR Jerseyco Limited. G 20161226 ...... Pfizer Inc.; Anacor Pharmaceuticals, Inc.; Pfizer Inc. G 20161232 ...... G Permira V L.P. 2; Yoshimune Noda; Permira V L.P. 2. 20161236 ...... Carlisle Companies Incorporated; A. Bruce Mainwaring; Carlisle Companies Incorporated. G Madison Dearborn Capital Partners VII-A, L.P.; PHW Equity Investors, L.P.; Madison Dearborn Capital Partners VII-20161244 ...... G A, L.P. 06/07/2016 20161170 ...... OSI Group, LLC; Creo Capital Partners, LP; OSI Group, LLC. New Mountain Partners IV, L.P.; PG-ACP Holdings, L.P.; New Mountain Partners IV, L.P. 20161239 ...... 06/10/2016 20161171 ..... G Elliott Associates, L.P.; Mitel Networks Corporation; Elliott Associates, L.P. 20161248 ...... G The Goldman Sachs Group Inc.; Mosley Holdings, Inc.; The Goldman Sachs Group Inc. Total S.A.; Saft Groupe S.A.; Total S.A. 20161252 ...... G Softbank Group Corp.; Genie Global, Inc.; Softbank Group Corp. 20161254 ..... G 20161255 ...... Softbank Group Corp.; The Kroger Co.; Softbank Group Corp. G DeVry Education Group Inc.; Alert Global Media Holdings, LLC; DeVry Education Group Inc. Genstar Capital Partners VI, L.P.; FactSet Research Systems Inc.; Genstar Capital Partners VI, L.P. 20161258 ..... G 20161262 ...... G 06/13/2016 G 20161168 ...... Valline S.r.I.; The Medicines Company; Valline S.r.I. ANRP II (AIV P), L.P.; Dale Brown; ANRP II (AIV P), L.P. 20161260 ...... G ANRP II (AIV P), L.P.; Cary Brown; ANRP II (AIV P), L.P. 20161261 ...... G 20161263 ...... G Dental Service of Massachusetts, Inc.; Advantage Consolidated, LLC; Dental Service of Massachusetts, Inc. 06/14/2016 20160562 ...... G GTCR Fund X/A AIV LP; UBM plc; GTCR Fund X/A AIV LP. 20161163 ..... G BVIP Fund VIII, L.P.; Keith A. Stinson; BVIP Fund VIII, L.P. 20161165 ...... BVIP Fund VIII, L.P.; Glenn F. Stinson; BVIP Fund VIII, L.P. G Nanya Technology Corporation; Micron Technology, Inc.; Nanya Technology Corporation. 20161196 ...... G 20161217 ...... Agnaten SE; Krispy Kreme Doughnuts, Inc.; Agnaten SE. G 20161257 ...... G KBR, Inc.; Wyle Inc.; KBR, Inc. BDCM Opportunity Fund III, L.P.; Investindustrial V L.P.; BDCM Opportunity Fund III, L.P. 20161259 ..... G C-III Partners LLC; Resource America, Inc.; C-III Partners LLC. 20161269 ...... G 06/15/2016 20161229 ...... AT&T Inc.; MDCP VI-A Global Investments LP; AT&T Inc. 06/16/2016 20161186 ...... G Sequential Brands Group, Inc.; Jirka Rysavy; Sequential Brands Group, Inc. Providence Equity Partners VII OEConnection L.P.; Ford Motor Company; Providence Equity Partners VII 20161218 ...... G OEConnection L.P. 20161219 ..... Providence Equity Partners VII OEConnection L.P.; General Motors Company; Providence Equity Partners VII OEConnection L.P. 06/17/2016 Heidelberg Cement AG; Italcementi S.p.A.; Heidelberg Cement AG. 20160092 ...... 20161190 ...... G Energy Transfer Equity, L.P.; DCP Midstream Partners, LP; Energy Transfer Equity, L.P. Stichting Administratiekantoor Westend; Thrive Market, Inc.; Stichting Administratiekantoor Westend. G 20161267 ...... 20161271 ...... G Range Resources Corporation; Memorial Resource Development Corp.; Range Resources Corporation. 20161273 ...... Newco; Frazier Healthcare (Cayman) VI, L.P.; Newco. G Interogo Foundation; Stichting Ingka Foundation; Interogo Foundation. 20161274 ...... G 20161276 ...... G Francisco Partners IV, L.P.; Sanjeev Malaney, Francisco Partners IV, L.P. Cargill Incorporated; Five Star Custom Foods, Ltd.; Cargill Incorporated. 20161277 ...... G 20161281 ...... G PAI Europe VI-1 FCPI; EQT VI (No.1) Limited Partnership; PAI Europe VI-1 FCPI.

Border States Industries, Inc.; William E. DeLoache, III; Border States Industries, Inc.

Shiseido Company, Limited; Richard M. DeVos; Shiseido Company, Limited.

salesforce.com, inc.; Demandware, Inc.; salesforce.com, inc.

EARLY TERMINATIONS GRANTED—Continued				
20161299	G G G	Shiseido Company, Limited; Voting Shares Trust; Shiseido Company, Limited. FS Equity Partners VII, L.P.; Roark Capital Partners, LP; FS Equity Partners VII, L.P. Telhio Credit Union, Inc.; Chaco Credit Union, Inc.; Telhio Credit Union, Inc.		
		06/20/2016		
20161246	G G G	Comcast Corporation; Jeffrey Katzenberg; Comcast Corporation. MTY Food Group Inc.; Sam and Clara Serruya; MTY Food Group Inc. Clayton Dubilier & Rice Fund IX, L.P.; White Mountains Insurance Group Ltd.; Clayton Dubilier & Rice Fund IX, L.P.		
		06/21/2016		
20161282 20161296	G G G G	Clayton, Dubilier & Rice Fund IX, L.P.; Lineage Investments, Inc.; Clayton, Dubilier & Rice Fund IX, L.P. Bain Capital Fund XI, L.P.; Navicure, Inc.; Bain Capital Fund XI, L.P. FREIF II Bravo AIV L.P.; Plains All American Pipeline, L.P.; FREIF II Bravo AIV L.P. General Electric Company; Plains All American Pipeline, L.P.; General Electric Company.		
		06/22/2016		
20161253	G G G G G G G	Starboard Value and Opportunity Fund Ltd.; Staples, Inc.; Starboard Value and Opportunity Fund Ltd. NICE Systems, Ltd.; inContact, Inc.; NICE Systems, Ltd. Thoma Bravo Discover Fund, L.P.; TA XI L.P.; Thoma Bravo Discover Fund, L.P. Golden Gate Capital Opportunity Fund LP; Paul A. Nazzaro; Golden Gate Capital Opportunity Fund LP. Thoma Bravo Discover Fund, L.P.; Elemica, Inc.; Thoma Bravo Discover Fund, L.P. Vista Equity Partners Fund VI, L.P.; Marketo, Inc.; Vista Equity Partners Fund VI, L.P. Vista Equity Partners Fund VI, L.P.; Ping Identity Corporation; Vista Equity Partners Fund VI, L.P. Accel-KKR Capital Partners V, LP; SciQuest, Inc.; Accel-KKR Capital Partners V, LP.		
		06/23/2016		
	G G	Platinum Equity Capital Partners III, L.P.; Electro Rent Corporation; Platinum Equity Capital Partners III, L.P. PPG Industries, Inc.; Platinum Equity Capital Partners III, L.P.; PPG Industries, Inc.		
		06/24/2016		
20161302	GGGGGGYGGGGGG	Pamlico Capital III, L.P.; Kenneth A. Barnett; Pamlico Capital III, L.P. Thermo Fisher Scientific Inc.; FEI Company; Thermo Fisher Scientific Inc. Zimmer Biomet Holdings, Inc.; LDR Holding Corporation; Zimmer Biomet Holdings, Inc. Veolia Environnement S.A.; The Chemours Company; Veolia Environnement S.A. Platinum Equity Capital Partners III, L.P.; ConAgra Foods, Inc.; Platinum Equity Capital Partners III, L.P. The Resolute Fund III, L.P.; PA-LLC Holdings, LLC; The Resolute Fund III, L.P. Wind Point Partners VIII-A, L.P.; LongueVue Capital Partners II, LP; Wind Point Partners VIII-A, L.P. The Resolute Fund III, L.P.; Yaron Rosenthal; The Resolute Fund III, L.P. FMC Technologies, Inc.; Technip S.A.; FMC Technologies, Inc. Technip S.A.; FMC Technologies, Inc.; Technip S.A. Olympus Growth Fund VI, L.P.; AmSpec Holding Corp; Olympus Growth Fund VI, L.P. Deutsche Telekom AG; AT&T Inc.; Deutsche Telekom AG. Symrise AG; Timothy J. Gamble; Symrise AG. Riverstone Global Energy and Power Fund V (FT), L.P.; Talen Energy Corporation; Riverstone Global Energy and Power Fund V (FT), L.P.		
		06/27/2016		
20161313	G	Dr. h.c. Friede Springer; eMarketer, Inc.; Dr. h.c. Friede Springer.		
06/28/2016				
	G G	Hardwoods Distribution Inc.; David Hughes; Hardwoods Distribution Inc. SCI Associates LLC; Platinum Equity Capital Partners II; SCI Associates LLC.		
		06/29/2016		
	G G	Project Alpha Holding, LLC; Qlik Technologies Inc.; Project Alpha Holding, LLC. GTCR Fund XI/B LP; Serent Capital, L.P.; GTCR Fund XI/B LP.		
	06/30/2016			
20161270	G G G G G G G G	Berkshire Hathaway Inc.; Shultz Steel Company; Berkshire Hathaway Inc. Mednax, Inc.; Cardon Healthcare Holdings, LLC; Mednax, Inc. Sierra Private Investments L.P.; Xura, Inc.; Sierra Private Investments L.P. Open Text Corporation; Recommind, Inc.; Open Text Corporation. World Fuel Services Corporation; Associated Petroleum Products, Inc.; World Fuel Services Corporation. Roark Capital Partners III LP; Roark Capital Partners II AIV AG, LP; Roark Capital Partners III LP. Roark Capital Partners II AIV AG, LP; Roark Capital Partners III LP; Roark Capital Partners III AIV AG, LP. Old Mutual plc; LMRK Intermediary, Inc.; Old Mutual plc.		

20161279 ......

20161336 ......

20161348 ......

20161355 ......

20161361 ......

20161362 ......

20161278 ......

20161349 ......

20161352 ......

20161373 ......

20161351 ......

20161366 ......

20161374 ......

20161098 ......

20161099 ......

20161157 ......

20161158 ......

20161363 ......

20161372 ......

20161368 ......

20161369 .....

20161387 ......

20161392 .....

20161397 ......

20161401 .....

20161395 ......

20161396 ......

20161402 ......

20161405 ......

20161406 ......

G

G

G

G

G

G

G

G

G

G

G

G

G

G

G

G

G

G

G

G

G

G

G

G

G

G

G

# EARLY TERMINATIONS GRANTED—Continued July 1, 2016 thru July 31, 2016 07/01/2016 General Atlantic Partners 93, L.P.; TA XI L.P.; General Atlantic Partners 93, L.P. Shire plc; Pfizer Inc.; Shire plc. Par Pacific Holdings, Inc.; Black Elk Refining, LLC; Par Pacific Holdings, Inc. Terumo Corporation; Sequent Medical, Inc.; Terumo Corporation. Hainan Cihang Charitable Foundation; gategroup Holding AG; Hainan Cihang Charitable Foundation. Oaktree Power Opportunities Fund IV, L.P.; Ronald P. Corio; Oaktree Power Opportunities Fund IV, L.P. 07/06/2016 Ares Capital Corporation; American Capital, Ltd.; Ares Capital Corporation. Genstar Capital Partners VI, L.P.; William W. McNeal, Jr.; Genstar Capital Partners VI, L.P. Pirlo Energy Holdings, LLC; WDE Partners, LP; Pirlo Energy Holdings, LLC. Audax Private Equity Fund V-A, L.P.; Chesapeake Urology Associated, P.A.; Audax Private Equity Fund V-A, L.P. 07/07/2016 Genstar Capital Partners VI, L.P.; Joseph M. Abbott; Genstar Capital Partners VI, L.P. Douglas Dynamics, Inc.; Peter Paul Dejana Family Trust dated 12/31/98; Douglas Dynamics, Inc. Randstad Holding nv; Ausy S.A.; Randstad Holding nv. 07/08/2016 RELX PLC; Alert Holding Company, Inc.; RELX PLC. RELX NV; Alert Holding Company, Inc.; RELX NV. TiVo Inc.; Rovi Corporation; TiVo Inc. Rovi Corporation; TiVo Inc.; Rovi Corporation. Hamilton Lane Co-Investment Fund II L.P.; Kelly Julius; Hamilton Lane Co-Investment Fund II L.P. Gryphon Partners IV, L.P.; Lawler Foods, Inc.; Gryphon Partners IV, L.P. 07/11/2016 BioScrip, Inc.; KRG Capital Fund IV, L.P.; BioScrip, Inc. UnitedHealth Group, Incorporated; Riverside Pediatric Group, P.C.; UnitedHealth Group, Incorporated. Audax Private Equity Fund V-A, L.P.; AEA Investors 2006 Fund L.P.; Audax Private Equity Fund V-A, L.P. Contura Energy, Inc.; Alpha Natural Resources, Inc.; Contura Energy, Inc. Sentinel Capital Partners V, L.P.; Levine Leichtman Capital Partners IV, L.P.; Sentinel Capital Partners V, L.P. Dentsu Inc.: Pegasus Partners IV. L.P.: Dentsu Inc. 07/13/2016 Ronald O. Perelman; Elizabeth Arden, Inc.; Ronald O. Perelman. MIP III (ECI) AIV, L.P.; Deutsche Bank AG; MIP III (ECI) AIV, L.P. Steel Dynamics, Inc.; William David Upton, Jr.; Steel Dynamics, Inc. Carlyle Europe Partners IV, L.P.; Logoplaste Invest S.A.; Carlyle Europe Partners IV, L.P. NextEra Energy, Inc.; USPF III Leveraged Feeder, L.P.; NextEra Energy, Inc.

#### 20161407 ..... BASF SE; Albemarle Corporation; BASF SE. G Vedipar S.A.; JF Hillebrand Group AG; Vedipar S.A. 20161408 ...... G Melrose Industries PLC; Nortek, Inc.; Melrose Industries PLC. 20161420 ...... 07/14/2016 20161334 ...... G The Hearst Family Trust; MedHOK Holdco, Inc.; The Hearst Family Trust. 20161398 ..... Bio-Techne Corporation; Advanced Cell Diagnostics, Inc.; Bio-Techne Corporation. G 20161403 ...... G Kelso Investment Associates IX, L.P.; Tenex Capital Partners, L.P.; Kelso Investment Associates IX, L.P. 07/15/2016 20160408 ...... G Tullett Prebon plc; ICAP Newco c/o ICAP plc; Tullett Prebon plc. 20161416 ...... Kion Group AG; DH Services Luxembourg Holding S.a.r.I.; Kion Group AG. G 20161417 ...... G American Securities Partners VII, L.P.; Canada Pension Plan Investment Board; American Securities Partners VII, LP. G KKR North America Fund XI, L.P.; RES Holding Company, LLC; KKR North America Fund XI, L.P. 20161419 ...... Packaging Corporation of America; Tim-Bar Corporation; Packaging Corporation of America. 20161421 ...... G 20161426 ...... G Datwyler Fuhrungs AG; Premier Farnell plc; Datwyler Fuhrungs AG. 20161434 ...... G Kelso Investment Associates IX, L.P.; Pamlico Capital II, L.P.; Kelso Investment Associates IX, L.P. 20161435 ..... G Andrew Fathollahi; Skullcandy, Inc.; Andrew Fathollahi. 07/18/2016 20161381 ...... G Tencent Holdings Limited; Softbank Corp.; Tencent Holdings Limited. OnShore SPV; NXP Semiconductors N.V.; OnShore SPV. 20161412 ......

EARLY TERMINATIONS GRANTED—Continued				
20161427	G	Summertime Holding Corp.; Enservio, Inc.; Summertime Holding Corp.		
07/19/2016				
20161376 20161428 20161429	G	Reyes Holdings, L.L.C.; The Coca-Cola Company; Reyes Holdings, L.L.C. Axalta Coating Systems Ltd.; Dr. Myung K. Hong and Lorrie Y. Hong; Axalta Coating Systems Ltd. Appointive Distributing Trust A c/u SC Johnson '88 Trust # 1; Baby Holdings, LLC; Appointive Distributing Trust A c/u SC Johnson '88 Trust # 1. Synnex Corporation; Mr. Sameer Chawla; Synnex Corporation.		
20161446	G	Gannett Co., Inc.; ReachLocal, Inc.; Gannett Co., Inc.		
07/20/2016				
20161194 20161357 20161391	G G G	H.I.G. Middle Market LBO Fund II, L.P.; Centric Group, L.L.C.; H.I.G. Middle Market LBO Fund II, L.P. Symantec Corporation; Bain Capital Fund XI, L.P.; Symantec Corporation. GameStop Corp.; David C. Shanks; GameStop Corp.		
		07/22/2016		
20151293	G G G G G G	Koninklijke Ahold N.V.; Delhaize Group NV/SA; Koninklijke Ahold N.V. Verizon Communications Inc.; Telogis, Inc.; Verizon Communications Inc. Aramark; National Purchasing Corporation; Aramark. Orion US Holdings 1 L.P.; SunEdison, Inc.; Orion US Holdings 1 L.P. MRO Holdings Inc.; MCP I (FAS), LP; MRO Holdings Inc. Onex Partners IV LP; Paine & Partners Capital Fund III, LP; Onex Partners IV LP. Johann F. Graf; Leonard H. Ainsworth; Johann F. Graf. The Resolute Fund II, L.P.; ICV Partners II, L.P.; The Resolute Fund II, L.P. Delta Galil Industries Ltd.; V.F. Corporation; Delta Galil Industries Ltd. Odyssey Investment Partners Fund V, L.P.; W.R. Berkley Corporation; Odyssey Investment Partners Fund V, L.P.		
		07/25/2016		
20161437 20161442	G G	Warburg Pincus Private Equity XI, L.P.; Dude Solutions Holdings, Inc.; Warburg Pincus Private Equity XI, L.P. Energy Spectrum Partners VII L.P.; Resolute Energy Corporation; Energy Spectrum Partners VII L.P.		
		07/26/2016		
20161394 20161413 20161450	G	Genstar Capital Partners VII, L.P.; Odyssey Investment Partners Fund IV, L.P.; Genstar Capital Partners VII, L.P. TEGNA Inc.; Great Hill Equity Partners IV, LP; TEGNA Inc. Francisco Partners IV, L.P.; Michael S. Dell; Francisco Partners IV, L.P.		
		07/27/2016		
20151659 20160818 20161487 20161493	G G G	Teva Pharmaceutical Industries Ltd.; Allergan plc; Teva Pharmaceutical Industries Ltd. Mylan N.V.; Meda AB; Mylan N.V. Honeywell International Inc.; Permira IV Continuing L.P. 2; Honeywell International Inc. Charlesbank Equity Fund VIII, Limited Partnership; Polyconcept Limited; Charlesbank Equity Fund VIII, Limited Partnership.		
07/28/2016				
20161447	G	National General Holdings Corp.; Elara Holdings, Inc.; National General Holdings Corp.		
		07/29/2016		
20160580	0000000000000	Bain Capital Fund XI, L.P.; American Trailer Works, Inc.; Bain Capital Fund XI, L.P. FR XII Charlie AIV, L.P.; Cotton Creek Capital Partners II, L.P.; FR XII Charlie AIV, L.P. H.I.G. Capital Partners V, L.P.; Patrick J. Kavanaugh; H.I.G. Capital Partners V, L.P. Hapag-Lloyd AG; United Arab Shipping Company S.A.G.; Hapag-Lloyd AG. Smith & Wesson Holding Corporation; Stewart A. Taylor and Sheila G. Taylor; Smith & Wesson Holding Corporation. Kaapa Ethanol Holdings, LLC; Abengoa Bioenergy of Nebraska, LLC; Kaapa Ethanol Holdings, LLC. Gores Holdings, Inc.; Hostess Holdings, L.P.; Gores Holdings, Inc. TPG Partners VII, LP; RoundTable Healthcare Partners II, L.P.; TPG Partners VII, LP. Matson, Inc.; Evergreen Pacific Partners II, L.P.; Matson, Inc. Thoma Bravo Fund XII, L.P.; Imprivata, Inc.; Thoma Bravo Fund XII, L.P. Royal Dutch Shell plc; Explorer Pipeline Company; Royal Dutch Shell plc. Monotype Imaging Holdings, Inc.; Olapic, Inc.; Monotype Imaging Holdings, Inc. Massachusetts Mutual Life Insurance Company; Ares Commercial Real Estate Corporation; Massachusetts Mutual Life Insurance Company.		

By direction of the Commission.

Donald S. Clark,

Secretary.

[FR Doc. 2016-18915 Filed 8-9-16; 8:45 am]

BILLING CODE 6750-01-P

# DEPARTMENT OF HEALTH AND HUMAN SERVICES

# Agency for Healthcare Research and Quality

# Agency Information Collection Activities: Proposed Collection; Comment Request

**AGENCY:** Agency for Healthcare Research and Quality, HHS.

**ACTION:** Notice.

SUMMARY: This notice announces the intention of the Agency for Healthcare Research and Quality (AHRQ) to request that the Office of Management and Budget (OMB) approve the proposed information collection project: "Agency for Healthcare Research and Quality's (AHRQ) Guide To Improving Patient Safety in Primary Care Settings by Engaging Patients and Families—Evaluation." In accordance with the Paperwork Reduction Act, 44 U.S.C. 3501–3521, AHRQ invites the public to comment on this proposed information collection.

**DATES:** Comments on this notice must be received by October 11, 2016.

**ADDRESSES:** Written comments should be submitted to: Doris Lefkowitz, Reports Clearance Officer, AHRQ, by email at *doris.lefkowitz@AHRQ.hhs.gov*.

Copies of the proposed collection plans, data collection instruments, and specific details on the estimated burden can be obtained from the AHRQ Reports Clearance Officer.

## FOR FURTHER INFORMATION CONTACT:

Doris Lefkowitz, AHRQ Reports Clearance Officer, (301) 427–1477, or by email at doris.lefkowitz@AHRQ.hhs.gov.

### SUPPLEMENTARY INFORMATION:

# **Proposed Project**

Agency for Healthcare Research and Quality's (AHRQ) Guide To Improving Patient Safety in Primary Care Settings by Engaging Patients and Families— Evaluation

There is a substantial evidence base showing that engaging patients and families in their care can lead to improvements in patient safety. Since the 1999 release of *To Err is Human*, there has been an undeniable focus on improving patient safety and eliminating patient harm within acute care. What is not as well documented is

how to achieve these improvements in primary care settings.

Patient and Family Engagement (PFE) strategies for acute care settings include, among others: Patient and family advisory committees; membership on patient safety oversight bodies at both operations and governance levels; consultation in the development of patient information material; engaging patients in process improvement or redesign projects; rounding with patients and families; patient and family participation in clinical education programs, and welcoming patients and families to work alongside providers and health systems employees on transparency, culture change and high reliability organization initiatives.

Although the field of PFE in patient safety for hospitals and health systems is maturing, leveraging PFE to improve patient safety in non-acute settings is in its infancy. Building sustainable processes and practice-based infrastructure are crucial to improving patient safety through patient and family engagement in primary care.

In response to the limited guidance available for primary care practices to improve safety through patient and family engagement, the AHRO has funded the development of a Guide To Improving Patient Safety in Primary Care Settings by Engaging Patients and Families (hereafter referred to as the Guide). This comprehensive Guide will provide primary care practices with interventions that they can use to engage patients and families in ways that lead to improved patient safety. It will include explicit instructions to help primary care practices, providers, and patients and families adopt new behaviors. The Guide and its development are prefaced on several key insights relevant to primary care including:

- Active engagement requires organizational commitment to hearing the patient and family voice and action by leadership to include them as central members of the health care team.
- Patients and families expect and increasingly demand meaningful engagement in harm prevention efforts.
- Institutional courage is required to openly share patient safety vulnerabilities and proactively engage patients in developing solutions that prevent harm.
- Supportive infrastructure is needed to hardwire PFE into all facets of care delivery across the care continuum.
- When done well, patient engagement yields important and measurable results. When not done well, PFE activities may disenfranchise patients, contribute to

misunderstanding about risk, create fissures among members of the clinical care team, and result in lack of trust between patients and providers.

With these insights as a basis, three precepts undergird our approach to development for the Guide. The Guide interventions must yield:

- Meaningful relationship-based engagement for patients and families and primary care providers.
- Innovation and enabling technologies to support engagement, shared decision making and patient safety.
- *Workable processes* yielding sustainable engagement opportunities for patients, families, providers, and practice staff.

The Guide will be principally (but not exclusively) meeting the needs of practices that have not already implemented effective PFE structures or processes. An environmental scan revealed several promising interventions for consideration for inclusion in the Guide. The four interventions selected as part of the Guide include:

- Teach-back.
- Be Prepared to Be Engaged.
- Medication Management.
- Warm Handoff.

The interventions will be compiled into the Guide for adoption by primary care practices. The environmental scan also yielded several important implications for Guide development including:

- Engagement efforts in primary care to date have focused on the patient as the agent of change with limited guidance to providers on how to support patients in these efforts.
- Many interventions are focused heavily on educational efforts alone, either for the patient, the provider, or the practice.
- Few of the tools and interventions identified are immediately usable without the need for additional development or enabling materials to support sustainable adoption.
- Health equity and literacy considerations are limited. Tools for patients are often at a relatively high level of literacy, and/or health literacy is required for use.

• Current interventions, tools, and toolkits have a high level of complexity that may impede adoption.

Existing evidence-based interventions are being refined to reduce complexity and enhance the opportunity for implementation. Implementation development activities are currently underway. Field testing of the Guide will evaluate the implementation challenges faced by primary care