

**DEPARTMENT OF HOUSING AND
URBAN DEVELOPMENT**

**Office of the Assistant Secretary for
Community Planning and
Development**

[Docket No. FR-4042-N-01]

**Notice of Funding Availability for
Continuum of Care Homeless
Assistance; Supportive Housing
Program (SHP); Shelter Plus Care
(S+C); Sec. 8 Moderate Rehabilitation
Single Room Occupancy Program for
Homeless Individuals (SRO)**

AGENCY: Office of the Assistant Secretary for Community Planning and Development, HUD.

ACTION: Notice of funding availability (NOFA).

SUMMARY: This Notice announces the 1996 homeless assistance competition designed to help communities develop Continuum of Care systems to assist homeless persons. These funds are available under three programs to create community systems for combating homelessness. The three programs are: (1) Supportive Housing; (2) Shelter Plus Care; and (3) Section 8 Moderate Rehabilitation for Single Room Occupancy Dwellings for Homeless Individuals. This notice of funding availability (NOFA) contains information concerning the Continuum of Care approach, eligible applicants, eligible activities, application requirements, and application processing.

DEADLINE DATES: All applications are due in HUD Headquarters before midnight Eastern Time on June 12, 1996. HUD will treat as ineligible for consideration applications that are received after that deadline. Applications may not be sent by facsimile (FAX).

ADDRESSES: For a copy of the application package and supplemental information please call the Community Connections information center at 1-800-998-9999 (voice) or 1-800-483-2209 (TDD), or contact by internet at gopher://amcom.aspensys.com:75/11/funding. Also, you can purchase, for a nominal fee, a video that walks you through the application package and provides general background that can be useful in preparing your application. The fee for the video may be waived in cases of financial hardship. For copies of the relevant portions of your community's Consolidated Plan, please contact the local or State official responsible for that Plan. If you need assistance in identifying this person, please call your local HUD Field Office.

Before close of business on the deadline date completed applications will be accepted at the following address: Special Needs Assistance Programs, Room 7270, Office of Community Planning and Development, Department of Housing and Urban Development, 451 Seventh Street, S.W., Washington, DC 20410, Attention: Continuum of Care Funding. On the deadline date, hand-carried applications will be received at the South lobby of the Department of Housing and Urban Development at the above address. Two copies of the application must also be sent to the HUD Field Office serving the State in which the applicant's projects are located. A list of Field Offices appears in an appendix of this NOFA. Field Office copies must be received by the application deadline as well, but a determination that an application was received on time will be made solely on receipt of the application at HUD Headquarters in Washington.

ELECTRONIC SUBMISSION: In addition to submitting the application narratives and forms in the traditional manner, you may also include an electronic version of your materials on a 3 1/4" computer diskette. The inclusion of the computer version this year is strictly an optional supplement to the standard application.

If you use HUD's Consolidated Planning software to generate supplemental maps, charts, or project lists, please include these files on the diskette as well.

FOR FURTHER INFORMATION CONTACT: The Community Connections information center at 1-800-998-9999 (voice) or 1-800-483-2209 (TDD), or by internet at gopher://amcom.aspensys.com:75/11/funding.

SUPPLEMENTARY INFORMATION:

Paperwork Reduction Act Statement

The information collection requirements contained in this notice have been approved by the Office of Management and Budget (OMB) under the Paperwork Reduction Act of 1980, and assigned OMB approval numbers 2506-0131, 2506-0112, and 2506-0118.

I. Substantive Description

(a) Authority

The Supportive Housing Program is authorized by title IV, subtitle C, of the Stewart B. McKinney Homeless Assistance Act (McKinney Act), as amended, 42 USC 11381. Funds made available under this NOFA for the Supportive Housing program are subject to the program regulations at 24 CFR part 583.

The Shelter Plus Care program is authorized by title IV, subtitle F, of the McKinney Act, as amended, 42 USC 11403. Funds made available under this NOFA for the Shelter Plus Care program are subject to the program regulations at 24 CFR part 582.

The Section 8 Moderate Rehabilitation Program for Single Room Occupancy Dwellings for Homeless Individuals (SRO) is authorized by section 441 of the McKinney Act, as amended, 42 USC 11401. Funds made available under this NOFA for the SRO program are subject to the program regulations at 24 CFR Part 882, subpart H, as amended by the Interim Rule published in the Federal Register on February 14, 1996 (61 FR 5850).

(b) Funding Availability

The Congress has not yet enacted a FY 1996 appropriation for HUD. When HUD has received its final Fiscal Year 1996 figure, the amount available under this NOFA will be published in the Federal Register. However, HUD is publishing this notice now in order to give potential applicants adequate time to prepare applications.

For planning purposes, applicants should be guided by two budget estimates. Based on Congressional action authorizing interim spending, commonly referred to as a Continuing Resolution, approximately \$675 million would be available for this competition. Based on the Administration's Fiscal Year 1996 Budget request (published February 1995), approximately \$925 million would be available for this competition. The amount that is ultimately awarded to applicants responding to this NOFA will depend upon the amount that is enacted for Fiscal Year 1996. Any unobligated funds from previous competitions or additional funds that may become available as a result of deobligations or recaptures from previous awards may also be used to fund applications submitted in response to this NOFA.

Separate amounts for each of the three programs will not be specified this year. Instead, the distribution of funds among the three programs will depend on locally determined priorities and overall demand. HUD reserves the right, however, to fund less than the full amount requested in any application to ensure the fair distribution of the funds available and to ensure the purposes of these homeless programs are met.

(c) Purpose

HUD has made addressing homelessness its number one priority. To that end, the Department founded the Continuum of Care approach and

requested and obtained a doubling of the homeless assistance budget from \$572 million in 1993 to \$1.1 billion in 1995. The Department has distributed the increased homeless assistance funds to support locally developed Continuum of Care systems designed to meet the multi-faceted needs of homeless persons in the nation's communities. These systems provide a much needed comprehensive approach to develop and implement housing and service delivery programs and help build partnerships and coordination with states, localities, not-for-profit organizations and the federal government to help homeless individuals and families move to permanent living and self-sufficiency to the extent possible. This is consistent with the Department's other major initiatives to encourage locally designed and coordinated approaches to solving community problems—the Consolidated Plan and Empowerment Zones/Enterprise Communities.

(1) *Continuum of Care.* The purpose of this NOFA is to fund projects and activities that will create locally developed Continuum of Care systems to assist homeless persons. A Continuum of Care system consists of four basic components:

- (i) A system of outreach and assessment for determining the needs and conditions of an individual or family who is homeless;
- (ii) Emergency shelters with appropriate supportive services to help ensure that homeless individuals and families receive adequate emergency shelter and referral to necessary service providers or housing finders;
- (iii) Transitional housing with appropriate supportive services to help those homeless individuals and families who are not prepared to make the transition to permanent housing and independent living; and
- (iv) Permanent housing, or permanent supportive housing, to help meet the long-term needs of homeless individuals and families.

While not all homeless individuals and families in a community will need to access all four, unless all four components are coordinated within a community, none will be successful. A strong homeless prevention strategy is also key to the success of the Continuum of Care.

Developing a Continuum of Care system requires a community process for coordinating all available resources. The community process should include nonprofit organizations (including veteran service organizations, other organizations representing persons with disabilities, and other groups serving homeless persons), State and local

government agencies, other homeless providers, housing developers and service providers, private foundations, neighborhood groups, and homeless or formerly homeless persons. Together, these groups should address the specific needs of each homeless subpopulation: the jobless, veterans, homeless persons with serious mental illnesses, persons suffering from substance abuse, persons with HIV/AIDS, persons with multiple diagnoses, victims of domestic violence, runaway youth, and any others.

This NOFA is only one source of funding for the identified homeless needs. Applicants should also seek other sources of funds to meet the needs of homeless persons, including funds from the private sector (foundations and the business community), state and local agencies, and other federal agencies.

High scores under the Continuum of Care category will be assigned to applications that demonstrate the achievement of two basic goals:

- Have maximum participation by non-profit providers of housing and services; homeless and formerly homeless persons; state and local governments and agencies; the private sector; housing developers; foundations and other community organizations.
- Create, maintain and build upon a community-wide inventory of housing and services for homeless families and individuals; identify the full spectrum of needs of homeless families and individuals; and coordinate efforts to obtain resources, particularly resources sought through this NOFA, to fill gaps between the current inventory and existing needs.

(2) *Prioritizing.* In order to best respond to feedback from the 1995 competition and to ensure that appropriate decision-making is done at the community level, this year's application will instruct that all projects that are proposed for funding under this NOFA be listed in priority order from the highest priority to the lowest. This priority order will mean, for example, that if funds are only available to finance 8 of 10 proposed projects, then funding will be awarded to the first eight projects listed. HUD believes priority decisions are best made through a locally-driven process and are key to the ultimate goal of reducing homelessness in America. And, HUD expects nonprofit organizations to be given a fair role in establishing these priorities.

This priority list will be used in awarding up to 40 points per project under the "Need" scoring criteria. Higher priority projects will receive more points under Need than lower

priority projects. If projects are not prioritized in the application, each project will receive the lowest score for Need.

(d) Use of NOFA Funds and Matching Funds To Fill Gaps

Funds available under this NOFA and matching funds may be used in the following ways to fill gaps within the context of developing a Continuum of Care system to help homeless persons achieve self-sufficiency:

(1) *Outreach/Assessment.* The Supportive Housing program may provide funding for outreach to homeless persons and assessment of their needs. The Shelter Plus Care program requires a supportive services match; outreach and assessment activities count toward that match.

(2) *Transitional housing and necessary social services.* The Supportive Housing program may be used to provide transitional housing with services, including both facility-based transitional housing and scattered-site transitional services. The Supportive Housing program may also be used to provide a safe haven, which is a form of supportive housing designed specifically to provide homeless persons with serious mental illness who have been living on the streets with a secure, non-threatening, non-institutional, supportive environment. These 24-hour residences in which overnight occupancy is limited to no more than 25 persons provide private or semi-private accommodations. They do not require participation in services and referrals as a condition of occupancy. Instead, it is expected that after a period of stabilization, residents will be more willing to participate in services and referrals, and will be ready to move to a more traditional form of permanent housing.

(3) *Permanent housing or permanent supportive housing.* The Supportive Housing program may be used to provide permanent supportive housing only for persons with disabilities, including both facility-based and scattered-site permanent supportive housing. The Shelter Plus Care program may be used to provide permanent supportive housing only for persons with disabilities (primarily persons who are seriously mentally ill, have chronic substance abuse problems, or have HIV/AIDS) in a variety of housing rental situations. This program requires a supportive services match; all supportive service activities count toward that match. The SRO program provides permanent housing for homeless individuals with incomes that

do not exceed the low-income standard of the Section 8 housing program. Appropriate supportive services are also an essential part of an SRO project. Providing permanent housing for homeless families is not available under the SRO program or the SRO component of the Shelter Plus Care (S+C) program because an SRO unit is designed for a single individual. Permanent housing for homeless families is only eligible under the other components of the Shelter Plus Care program and under

the Supportive Housing program if an adult member has a disability.

(e) Homeless Persons With Multiple Diagnoses

Applicants are strongly urged to focus special efforts on homeless persons with multiple diagnoses, particularly mental illness, HIV/AIDS and addictions. Many providers and communities have found that this population is the most difficult part of the homeless population to address and, as a result, in some communities not all of these persons receive necessary housing and services.

(f) Program Summaries

Statutory authority for these programs is quite specific. HUD may not waive or alter statutory requirements. The chart below summarizes key aspects of the Supportive Housing Program, the Shelter Plus Care Program, and the Section 8 Moderate Rehabilitation Program for Single Room Occupancy Dwellings for Homeless Individuals. Program descriptions are contained in the applicable regulations cited in the chart.

Element	Supportive housing	Shelter plus care	Section 8 SRO
Authorizing legislation	Subtitle C of Title IV of the Stewart B. McKinney Homeless Assistance Act, as amended.	Subtitle F of Title IV of the Stewart B. McKinney Homeless Assistance Act, as amended.	Section 441 of the Stewart B. McKinney Homeless Assistance Act, as amended.
Implementing regulations	24 CFR part 583	24 CFR part 582	24 CFR part 882, subpart H, as amended February 14, 1996.
Eligible applicant(s)	<ul style="list-style-type: none"> • States • Units of general local government. • Public housing agencies (PHAs). • Tribes • Private nonprofit organizations . • CMHCs that are public nonprofit organizations. 	<ul style="list-style-type: none"> • States • Units of general local government. • Tribes • PHAs 	<ul style="list-style-type: none"> • PHAs. • Private nonprofit organizations.
Eligible components	<ul style="list-style-type: none"> • Transitional housing • Permanent housing for disabled persons only. • Supportive services not in conjunction with supportive housing. • Safe havens • Innovative supportive housing .. 	<ul style="list-style-type: none"> • Tenant-based • Sponsor-based • Project-based • SRO-based 	<ul style="list-style-type: none"> • SRO housing.
Eligible activities	<ul style="list-style-type: none"> • Acquisition • Rehabilitation • New construction • Leasing • Operating costs • Supportive services • Homeless persons 	<ul style="list-style-type: none"> • Rental assistance 	<ul style="list-style-type: none"> • Rental assistance.
Eligible populations	<ul style="list-style-type: none"> • Homeless persons with disabilities. • Homeless families with children 	<ul style="list-style-type: none"> • Homeless disabled individuals . • Homeless disabled individuals and their families. <p>Homeless persons who:</p> <ul style="list-style-type: none"> • are seriously mentally ill • have chronic problems with alcohol and/or drugs. • have AIDS and related diseases. 	<ul style="list-style-type: none"> • Homeless individuals. • Section 8 eligible current occupants. <p>N/A.</p>
Populations given special consideration.	<ul style="list-style-type: none"> • Homeless persons with disabilities. • Homeless families with children 	<p>Homeless persons who:</p> <ul style="list-style-type: none"> • are seriously mentally ill • have chronic problems with alcohol and/or drugs. • have AIDS and related diseases. 	N/A.
Initial term of assistance	3 years	5 years: TRA, SRA, and PRA if no rehab 10 years: SRO and PRA with rehab.	10 years.

II. Application Requirements

The application requires a description of the Continuum of Care system and proposed project(s). It also contains certifications that the applicant will comply with fair housing and civil rights requirements, program regulations, and other Federal requirements, and (where applicable) that the proposed activities are consistent with the HUD-approved Consolidated Plan of the applicable State or unit of general local

government, including the Analysis of Impediments to Fair Housing and the Action Plan to address these impediments.

Care should be taken in the selection of projects and in the preparation of applications to ensure that environmental and historic preservation impediments do not cause an application to be denied or approval severely delayed. Questions about which environmental and historic

preservation laws may apply should be addressed to the HUD Field Office.

III. Application Selection Process

(a) Review, Rating and Conditional Selection

The Department will use the same review, rating, and conditional selection process for all three programs (S+C, SRO, and SHP). To review and rate applications, the Department may establish panels including persons not currently employed by HUD to obtain

certain expertise and outside points of view, including views from other Federal agencies. Two types of reviews will be conducted. Paragraphs (1) and (2) below describe threshold reviews and paragraphs (3) and (4) describe criteria—Continuum of Care and Need—that will be used to assign points. Up to 100 points will be assigned using these criteria.

There are three options for submitting an application under this NOFA. *One:* A “Consolidated Application” is submitted when a jurisdiction (or a consortium of jurisdictions) submits a single application encompassing a Continuum of Care strategy and containing all the projects within that strategy for which funding is being requested. Individual projects, and operators, are contained within the one consolidated application. Grant funding may go to one entity which then administers all funded projects submitted in the application, or under this option, grant funding may go to all or any of the projects individually. Your application will specify the grantee for each project. *Two:* “Associated Applications” are submitted when applicants plan and organize a single Continuum of Care strategy which is adopted by project sponsors or operators who choose to submit separate applications for projects while including the identical Continuum of Care strategy. In this case, project funding would go to each successful applicant individually and each would be responsible to HUD for administering its separate grant. *Three:* A “Solo Application” is submitted when an applicant applies for a project exclusive of any Continuum of Care strategy.

Options one and two will be considered equally competitive. Applicants are advised that projects that are not a part of a Continuum of Care strategy will receive few, if any, points under the Continuum of Care rating criteria.

(1) *Applicant and sponsor eligibility and capacity.* Applicant and project sponsor capacity will be reviewed to ensure the following eligibility and capacity standards are met. If HUD determines these standards are not met, the project will be rejected from the competition.

- The applicant must be eligible to apply for the specific program. For the Sponsor-based component of the Shelter Plus Care program, the project sponsor must be a nonprofit organization;
- The applicant must demonstrate that there is sufficient knowledge and experience to carry out the project(s). With respect to each proposed project, this means that in addition to

knowledge of and experience with homelessness in general, the organization carrying out the project, its employees, or its partners, must have the necessary experience and knowledge to carry out the specific activities proposed, such as housing development, housing management, and service delivery;

- If the applicant or project sponsor is a current or past recipient of assistance under a HUD McKinney Act program or the HUD Single Family Property Disposition Homeless Program, there must be no project or construction delay, HUD finding, or outstanding audit that HUD deems serious regarding the administration of HUD McKinney Act programs or the HUD Single Family Property Disposition Homeless Program; and

- The applicant and project sponsors must be in compliance with applicable civil rights laws and Executive Orders.

(2) *Project eligibility and quality.* Each project will be reviewed to determine if it meets the following eligibility and threshold quality standards. If HUD determines the following standards are not met by a specific project or activity, the project or activity will be rejected from the competition.

- The population to be served must meet the eligibility requirements of the specific program, as described in the program regulations;

- The activity(ies) for which assistance is requested must be eligible under the specific program, as described in the program regulations;

- The housing and services proposed must be appropriate to the needs of the persons to be served. HUD may find a project to be inappropriate if: the type and scale of the housing or services clearly does not fit the needs of the proposed participants (e.g., housing homeless families with children in the same space as homeless individuals, or separating members of the same family, without an acceptable rationale provided); participant safety is not addressed; participants will have little or no involvement in decision-making and project operations; the housing or services are clearly designed to principally meet emergency needs rather than helping participants achieve self-sufficiency; or transportation and community amenities are not available and accessible;

- The project must be cost-effective in HUD’s opinion, including costs associated with construction, operations, and administration.

- Any services proposed for funding must be designed to help participants achieve permanent housing and self-sufficiency.

- For the Section 8 SRO program, at least 25 percent of the units to be assisted at any one site must be vacant at the time of application;

- For those projects proposed under the SHP innovative category: Whether or not a project is considered innovative will be determined on the basis that the particular approach proposed is new to the area, is a sensible model for others, and can be duplicated; and

- HUD will also find one or more of these standards not to have been met if there is insufficient information provided in the application on which to make a determination.

(3) *Continuum of Care.* Up to 60 points will be awarded as follows:

(i) *Process and Strategy.* Up to 30 point will be awarded based on the extent to which the application demonstrates:

- The existence of a quality and inclusive community process, including organizational structure(s), for developing and implementing a Continuum of Care strategy which includes nonprofit organizations (such as veterans service organizations, other organizations representing persons with disabilities, and other groups serving homeless persons), State and local governmental agencies, other homeless providers, housing developers and service providers, private foundations, local businesses and the banking community, neighborhood groups, and homeless or formerly homeless persons; and

- That a quality and comprehensive strategy has been developed which addresses the components of a Continuum of Care system (i.e., outreach, intake, and easement; emergency shelter; transitional housing; permanent and permanent supportive housing) and that strategy has been designed to serve all homeless subpopulations in the community (e.g., seriously mentally ill, persons with multiple diagnoses, veterans), including those persons living in emergency shelters, supportive housing for homeless persons, or in places not designed for, or ordinarily used as, a regular sleeping accommodation for human beings. For S+C, the strategy receives more points based on the extent to which S+C activities will serve homeless persons who are seriously mentally ill, have chronic alcohol and/or substance abuse problems, or have AIDS and related diseases.

(ii) *Gaps and Priorities.* Up to 20 point will be awarded based on the extent to which the application:

- Establishes the relative priority of homeless needs identified in the Continuum of Care strategy; and

- Proposes projects that are consistent with the priority analysis described in the Continuum of Care strategy.

(iii) Supplemental Resources. Up to 10 points will be awarded based on the extent to which the application demonstrates leveraging of funds requested under this NOFA with other resources, including private, other public, and mainstream services and housing programs.

(4) *Need*. Up to 40 points will be awarded for need. There is a three-step approach to determining the need scores to be awarded to projects:

(i) Determining relative need: To determine the homeless assistance need of a particular jurisdiction, HUD will use nationally available data on poverty, housing overcrowding, population, age of housing, and growth lag. Applying those criteria to a particular jurisdiction provides an estimate of the relative need index for that jurisdiction compared to other jurisdictions applying for assistance under this NOFA.

(ii) Applying relative need: That relative need index is then applied to the total amount of funding available under this NOFA to determine a jurisdiction's pro rata need. As HUD is still operating under a Continuing Resolution and, therefore, does not have a set budget for Fiscal Year 1996, there is uncertainty as to the total amount available for funding under this NOFA. As explained earlier in this NOFA, there are two likely scenarios: funding of either \$675 million or \$925 million. For the applicants' ease, HUD has estimated the amounts of the pro rata need for 300 communities across the country based on both scenarios and listed them in Appendix B. The estimated pro rata need of communities not listed is included within the State balances shown in Appendix B.

(iii) Awarding need points to projects: Once the pro rata need is established, it is applied against the priority project list in the application. Starting from the highest priority project, HUD proceeds down the list to include those projects whose total funding equals that jurisdiction's pro rata need. Those priority projects which fall within that pro rata need each receive the full 40 points for need. Thereafter, HUD proceeds further down the priority project list until two times the pro rata need is reached and each of those projects receive 20 points. Remaining projects each receive 10 points.

For example, the City of Birmingham might have a relative need index of .27 percent. That .27 percent relative need index applied to \$625 million and \$925 million renders a pro rata need of \$1.8 million and \$2.5 million, respectively.

HUD will then apply the City's priority project list against the \$1.8 million or \$2.5 million amount, depending upon what amount is finally established in the HUD budget as funding under this NOFA. Assuming for this illustration that Congress adopts the Administration's requested budget of \$925 million, the \$2.5 million amount would be applied. Those projects whose total dollar amount in aggregate falls within \$2.5 million are determined to have the highest pro rata need and are each awarded 40 points. HUD then continues down the project list until two times \$2.5 million is reached (i.e., \$5.0 million) and those projects each receive 20 points. Projects prioritized below \$5.0 million each receive 10 points.

If an application does not prioritize projects, each project will receive 10 points.

In the case of competing applications from a single jurisdiction or service area, projects in the application that receives the highest score out of the possible 60 points for Continuum of Care are eligible for up to 40 points under Need. Projects in the competing applications with less effective Continuum of Care strategies are eligible for only 10 points under Need.

(5) *Ranking*. The score for Continuum of Care will be added to the Need score in order to obtain a total score for each project. The projects will then be ranked from highest to lowest according to the total combined score. A bonus of 2 points will be added in determining the final score of any project that will be located within a federal Empowerment Zone or Enterprise Community if priority placement will be given by the project to homeless persons living on the streets or in shelters within the EZ or EC, or whose last known address was within the EZ or EC.

(6) *Conditional selection*. Whether a project is conditionally selected, as described in section IV below, will depend on its overall ranking compared to others, except that HUD reserves the right to select lower rated projects if necessary to achieve geographic diversity; ensure that the overall amount of assistance received by a jurisdiction is not disproportionate to the jurisdiction's overall need for homeless assistance, as calculated from generally available data; or to achieve diversity of assistance provided in a community as determined through a comparison of projects from a given jurisdiction.

HUD also reserves the right to break ties among projects by determining which project will best achieve the purposes described in the preceding sentence, or to fund a project at less

than the full amount requested if necessary to achieve one or more of those purposes.

In the event of a procedural error that, when corrected, would result in selection of an otherwise eligible project during the funding round under this NOFA, HUD may select that project when sufficient funds become available.

(7) *Additional selection considerations*. HUD will also apply the statutorily required limitations on funding described below in making conditional selections.

In accordance with section 429 of the McKinney Act, as amended, HUD will award Supportive Housing funds as follows: not less than 25 percent for projects that primarily serve homeless families with children; not less than 25 percent for projects that primarily serve homeless persons with disabilities; and not less than 10 percent for supportive services not provided in conjunction with supportive housing. After projects are rated and ranked, based on the criteria described above, HUD will determine if the conditionally selected projects achieve these minimum percentages. If not, HUD will skip higher-ranked projects in a category for which the minimum percent has been achieved in order to achieve the minimum percent for another category. If there are an insufficient number of conditionally selected projects in a category to achieve its minimum percent, the unused balance will be used for the next highest-ranked approvable Supportive Housing project.

In accordance with section 463(a) of the McKinney Act, as amended by the 1992 Act, at least 10 percent of Shelter Plus Care funds will be awarded for each of the four components of the program: Tenant-based Rental Assistance; Sponsor-based Rental Assistance; Project-based Rental Assistance; and Section 8 Moderate Rehabilitation of Single Room Occupancy Dwellings for Homeless Individuals (provided there are sufficient numbers of approvable projects to achieve these percentages). After projects are rated and ranked, based on the criteria described below, HUD will determine if the conditionally selected projects achieve these minimum percentages. If necessary, HUD will skip higher-ranked projects for a component for which the minimum percent has been achieved in order to achieve the minimum percent for another component. If there are an insufficient number of approvable projects in a component to achieve its minimum percent, the unused balance will be used for the next highest-ranked approvable Shelter Plus Care project.

In accordance with section 455(b) of the McKinney Act, no more than 10 percent of the assistance awarded for Shelter Plus Care in any fiscal year may be used for programs located within any one unit of general local government.

In accordance with section 441(c) of the McKinney Act, no city or urban county may have projects receiving a total of more than 10 percent of the assistance made available under this program.

(b) Clarification of Application Information

In accordance with the provisions of 24 CFR part 4, subpart B, HUD may contact an applicant to seek clarification of an item in the application, or to request additional or missing information, but the clarification or the request for additional or missing information shall not relate to items that would improve the substantive quality of the application pertinent to the funding decision.

(c) Technical Assistance

A video presentation about this competition is available for a nominal fee and can be obtained from Community Connections at 1-800-998-9999. This fee may be waived in the event of financial hardship. You may also reach HUD staff for answers to your questions by calling that toll-free telephone number. Prior to the application deadline, HUD staff will be available to provide general guidance and help identify organizations in your community that are involved in developing the Continuum of Care system. Following conditional selection, HUD staff will be available to assist in clarifying or confirming information that is a prerequisite to the offer of a grant agreement by HUD. However, between the application deadline and the announcement of conditional selections, HUD will accept no information that would improve the substantive quality of the application pertinent to the funding decision.

IV. Fund Award Process

HUD will notify conditionally selected applicants in writing. As necessary, HUD will subsequently request them to submit additional project information, which may include documentation to show the project is feasible; documentation of firm commitments for cash match; documentation showing site control; information necessary for HUD to perform an environmental review, where applicable; and such other documentation as specified by HUD in writing to the applicant, that confirms

or clarifies information provided in the application. SRO and S+C/SRO applicants will be notified of the date of the two month deadline for submission of such information; other S+C applicants and all SHP applicants will be notified of the date of the one month deadline for submission of such information. If an applicant is unable to meet any conditions for fund award within the specified timeframe, HUD reserves the right not to award funds to the applicant, but instead to either: use them to select the next highest ranked application(s) from the original competition for which there are sufficient funds available; or add them to funds available for the next competition for the applicable program.

V. Employment Opportunities for Homeless Persons

A key goal of the Continuum of Care approach is to assist homeless persons achieve independent living whenever possible. Each of the three programs under this NOFA has as a goal increasing the skill level and/or income of program participants. Employment opportunities not only help achieve these goals but are also important in rebuilding self-esteem.

The McKinney Act recognizes the importance of employment opportunities in requiring that, to the maximum extent practicable, recipients involve homeless persons through employment, volunteer services, or otherwise, in constructing, rehabilitating, maintaining, and operating the project and in providing supportive services. Under the Supportive Housing Program, employment assistance activities are eligible, and grant recipients can use these funds for such activities as job training, wages, and educational awards for homeless persons. While Shelter Plus Care Program and SRO Program funds may only be used for rental assistance, employment assistance activities paid from other sources count towards the match requirement of the Shelter Plus Care Program.

VI. Linking Homeless Assistance Programs and AmeriCorps

The Corporation for National Service, established in 1993 to engage Americans of all ages and backgrounds in community-based service, supports a range of national and community service programs. AmeriCorps, one of the national service programs supported by the Corporation, engages thousands of Americans on a full or part-time basis to help communities address their toughest challenges, while earning

support for college, graduate school, or job training.

The partnership may include either (1) the AmeriCorps*State program, which is supported by the Corporation for National Service funds and operated through independent State Commissions, or (2) the AmeriCorps*VISTA program, which is both supported and operated by the Corporation for National Service through its State Offices.

Applicants for the Supportive Housing Program are encouraged to link their proposed projects with AmeriCorps. AmeriCorps Members can be an excellent source of committed, caring staff. For information about AmeriCorps SHP partnerships, call the Corporation for National Service at (202) 606-5000, extension 486.

For Supportive Housing, applicants may request funds for paying operating and supportive services costs. These costs may include payment for AmeriCorps Members, such as living allowances, health care costs, and reasonable overhead costs of the AmeriCorps program sponsor, but may not exceed the cost which would be paid by the applicant for the same services when procured from a contractor. An applicant does not fill out a special exhibit for AmeriCorps Members. Instead, the costs for the AmeriCorps Members are included in the operating and supportive services budgets, as appropriate, just as other staff costs are.

If Members are used in operating the Supportive Housing project, the costs are subject to the requirement that operating costs be shared. Examples of how Members may be used in operating a project include maintenance, security, and facility management. Supportive services are not subject to cost-sharing, so if Members are engaged in delivering supportive services, such as substance abuse counseling, case management, or recreational programs, no local share is required.

The Corporation's financial support for the partnership is subject to availability of funds.

VII. Program Limitations

(a) *SRO program.* Applicants need to be aware of the following limitations that apply to the Section 8 SRO program:

- Under section 8(e)(2) of the United States Housing Act of 1937, no single project may contain more than 100 units;
- Under 24 CFR 882.802, applicants that are private nonprofit organizations must subcontract with a Public Housing

Authority to administer the SRO assistance;

- Under section 8(e)(2) of the United States Housing Act of 1937 and 24 CFR 882.802, rehabilitation must involve a minimum expenditure of \$3,000 for a unit, including its prorated share of work to be accomplished on common areas or systems, to upgrade conditions to comply with the Housing Quality Standards.

- Under section 441(e) of the McKinney Act and 24 CFR 882.805(g)(1), HUD publishes the SRO per unit rehabilitation cost limit each year to take into account changes in construction costs. This cost limitation applies to rehabilitation that is compensated for in a Housing Assistance Payments Contract. For purposes of Fiscal Year 1996 funding, the cost limitation is raised from \$16,100 to \$16,500 per unit to take into account increases in construction costs during the past 12-month period.

(b) *Shelter Plus Care/Section 8 SRO Component.* With regard to the Shelter Plus Care/Section 8 SRO component, applicant States, units of general local government and Indian tribes must subcontract with a Public Housing Authority to administer the Shelter Plus Care assistance. Also with regard to this component, no single project may contain more than 100 units.

VIII. Other Matters

Prohibition Against Lobbying Activities

The use of funds awarded under this NOFA is subject to the disclosure requirements and prohibitions of Section 319 of the Department of Interior and Related Agencies Appropriations Act for Fiscal Year 1990 (31 U.S.C. 1352) (the "Byrd Amendment") and the implementing regulations at 24 CFR part 87. These authorities prohibit recipients of Federal contracts, grants, or loans from using appropriated funds for lobbying the Executive or Legislative branches of the Federal government in connection with a specific contract, grant, or loan. The prohibition also covers the awarding of contracts, grants, cooperative agreements, or loans unless the recipient has made an acceptable certification regarding lobbying. Under 24 CFR part 87, applicants, recipients and sub-recipients of assistance exceeding \$100,000 must certify that no Federal funds have been or will be spent on lobbying activities in connection with the assistance.

Environmental Impact

In accordance with 40 CFR 1508.4 of the regulations of the Council on

Environmental Quality and 24 CFR 50.20(k) and (l) of the HUD regulations, the policies and procedures set forth in this document are determined not to have the potential for having a significant impact on the quality of the human environment, and therefore are exempt from further environmental reviews under the National Environmental Policy Act of 1969. (This same determination was made at the time of development of the interim rule on the Supportive Housing Program, Shelter Plus Care, and Section 8 Moderate Rehabilitation Single Room Occupancy Program for Homeless Individuals, that was published in the Federal Register on May 10, 1994 (59 FR 24252).

Executive Order 12606, The Family

The General Counsel, as the Designated Official under Executive Order 12606, The Family, has determined that the policies announced in this Notice would have a significant impact on the formation, maintenance, and general well-being of families, but since this impact would be beneficial, no further analysis under the Order is necessary.

Executive Order 12612, Federalism

The General Counsel has determined, as the Designated Official for HUD under section 6(a) of Executive Order 12612, Federalism, that the policies contained in this Notice will not have federalism implications and, thus, are not subject to review under the Order. The promotion of activities and policies to end homelessness is a recognized goal of general benefit without direct implications on the relationship between the national government and the states or on the distribution of power and responsibilities among various levels of government.

Drug-Free Workplace Certification

The Drug-Free Workplace Act of 1988 requires grantees of Federal agencies to certify that they will provide drug-free workplaces. Thus, each applicant must certify that it will comply with drug-free workplace requirements in accordance with 24 CFR part 24, subpart F.

Accountability in the Provision of HUD Assistance

HUD has promulgated a final rule to implement section 102 of the Department of Housing and Urban Development Reform Act of 1989 (HUD Reform Act). The final rule is codified at 24 CFR part 12. Section 102 contains a number of provisions that are designed to ensure greater accountability and integrity in the

provision of certain types of assistance administered by HUD. On January 14, 1992, HUD published at 57 FR 1942 additional information that gave the public (including applicants for, and recipients of, HUD assistance) further information on the implementation of section 102. The documentation, public access, and disclosure requirements of section 102 are applicable to assistance awarded under this NOFA as follows:

Documentation and Public Access Requirements

HUD will ensure that documentation and other information regarding each application submitted pursuant to this NOFA are sufficient to indicate the basis upon which assistance was provided or denied. This material, including any letters of support, will be made available for public inspection for a five-year period beginning not less than 30 days after the award of the assistance. Material will be made available in accordance with the Freedom of Information Act (5 U.S.C. 552) and HUD's implementing regulations at 24 CFR part 15. In addition, HUD will include the recipients of assistance pursuant to this NOFA in its Federal Register notice of all recipients of HUD assistance awarded on a competitive basis. (See 24 CFR 12.14(a) and 12.16(b), and the notice published in the Federal Register on January 16, 1992 (57 FR 1942), for further information on these documentation and public access requirements.)

Disclosures

HUD will make available to the public for five years all applicant disclosure reports (HUD Form 2880) submitted in connection with this NOFA. Update reports (also Form 2880) will be made available along with the applicant disclosure reports, but in no case for a period less than three years. All reports—both applicant disclosures and updates—will be made available in accordance with the Freedom of Information Act (5 U.S.C. 552) and HUD's implementing regulations at 24 CFR part 15. (See 24 CFR subpart C, and the notice published in the Federal Register on January 16, 1992 (57 FR 1942), for further information on these disclosure requirements.)

Section 103 HUD Reform Act

HUD's regulation implementing section 103 of the Department of Housing and Urban Development Reform Act of 1989, codified as 24 CFR part 4, applies to the funding competition announced today. The requirements of the rule continue to apply until the announcement of the

selection of successful applicants. HUD employees involved in the review of applications and in the making of funding decisions are limited by part 4 from providing advance information to any person (other than an authorized employee of HUD) concerning funding decisions, or from otherwise giving any applicant an unfair competitive advantage. Persons who apply for assistance in this competition should confine their inquiries to the subject areas permitted under 24 CFR part 4.

Applicants or employees who have ethics related questions should contact the HUD Office of Ethics (202) 708-3815. (This is not a toll-free number.) For HUD employees who have specific program questions, such as whether particular subject matter can be discussed with persons outside HUD, the employee should contact his or her Field Office Counsel, or Headquarters counsel for the program to which the question pertains.

Submissions

Applications that are mailed before June 12, 1996, but received within ten (10) days after that date will be deemed to have been received by that date if postmarked by the United States Postal Service by no later than June 8, 1996. Overnight delivery items received after June 12, 1996, will be deemed to have been received by that date upon submission of documentary evidence that they were placed in transit with the overnight delivery service by no later than June 11, 1996.

Authority: 42 U.S.C. 11403 note; 42 U.S.C. 11389; 42 U.S.C. 1437a, 1437c, and 1437f; 42 U.S.C. 3535(d); 24 CFR parts 582, 583, and 882.

Dated: March 12, 1996.

Andrew Cuomo,

Assistant Secretary for Community Planning and Development.

Appendix A—List of HUD Field Offices

Telephone numbers for Telecommunications Devices for the Deaf (TDD machines) are listed for CPD Directors in HUD Field Offices; all HUD numbers, including those noted *, may be reached via TDD by dialing the Federal Information Relay Service on 1-800-877-TDDY or (1-800-877-8339).

Alabama

William H. Dirl, Beacon Ridge Tower, 600 Beacon Pkwy. West, Suite 300, Birmingham, AL 35209-3144; (205) 290-7645; TDD (205) 290-7624.

Alaska

Colleen Bickford, 949 E. 36th Avenue, Suite 401, Anchorage, AK 99508-4399; (907) 271-3669; TDD (907) 271-4328.

Arizona

Martin H. Mitchell, 400 N. 5th St., Suite 1600, Arizona Center, Phoenix, AZ 85004; (602) 379-4754; TDD (602) 379-4461.

Arkansas

Billy M. Parsley, TCBY Tower, 425 West Capitol Ave., Suite 900, Little Rock, AR 72201-3488; (501) 324-6375; TDD (501) 324-5931.

California

Steve Sachs, 450 Golden Gate Ave., P.O. Box 36003, San Francisco, CA 94102-3448; (415) 436-6544; TDD (415) 556-8357.

Colorado

Guadalupe M. Herrera, First Interstate Tower North, 633 17th St., Denver, CO 80202-3607; (303) 672-5414; TDD (303) 672-5248.

Connecticut

Mary Ellen Morgan, 330 Main St., Hartford, CT 06106-1860; (860) 240-4665; TDD (860) 240-4522.

Delaware

Joyce Gaskins, Wanamaker Bldg., 100 Penn Square East, Philadelphia, PA 19107; (215) 656-0624; TDD (215) 597-5564.

District of Columbia (and MD and VA Suburbs)

James H. McDaniel, 820 First St., NE, Washington, DC 20002; (202) 275-0994; TDD (202) 275-0772.

Florida

James N. Nichol, 301 West Bay St., Suite 2200, Jacksonville, FL 32202-5121; (904) 232-3587; TDD (904) 232-1241.

Georgia

John Perry, Russell Fed. Bldg., Room 688, 75 Spring St., SW, Atlanta, GA 30303-3388; (404) 331-5139; TDD (404) 730-2654.

Hawaii (and Pacific)

Patty A. Nicholas, 7 Waterfront Plaza, Suite 500, 500 Ala Moana Blvd., Honolulu, HI 96813-4918; (808) 522-8180x264; TDD (808) 522-8193.

Idaho

John G. Bonham, 400 S.W. Sixth Ave., Suite 700, Portland, OR 97204-1632 (503) 326-7012; TDD * via 1-800-877-8339.

Illinois

James Barnes, 77 W. Jackson Blvd., Chicago, IL 60604-3507; (312) 353-1696; TDD (312) 353-7143.

Indiana

Robert F. Poffenberger, 151 N. Delaware St., Indianapolis, IN 46204-2526; (317) 226-5169; TDD * via 1-800-877-8339.

Iowa

Gregory A. Bevirt, Executive Tower Centre, 10909 Mill Valley Road, Omaha, NE 68154-3955; (402) 492-3144; TDD (402) 492-3183.

Kansas

William Rotert, Gateway Towers 2, 400 State Ave., Kansas City, KS 66101-2406; (913) 551-5484; TDD (913) 551-6972.

Kentucky

Ben Cook, P.O. Box 1044, 601 W. Broadway, Louisville, KY 40201-1044; (502) 582-6141; TDD (502) 582-5139.

Louisiana

Gregory J. Hamilton, 501 Magazine St., New Orleans, LA 70130; (504) 589-7212; TDD (504) 589-7237.

Maine

David Lafond, Norris Cotton Fed. Bldg., 275 Chestnut St., Manchester, NH 03101-2487; (603) 666-7640; TDD (603) 666-7518.

Maryland

Harold Young, 10 South Howard Street, 5th Floor, Baltimore, MD 21202-0000; (410) 962-2520x3116; TDD (410) 962-0106.

Massachusetts

Robert Paquin, Acting Director, Thomas P. O'Neill, Jr., Fed. Bldg., 10 Causeway St., Boston, MA 02222-1092; (617) 565-5342; TDD (617) 565-5453.

Michigan

Richard Paul, Patrick McNamara Bldg., 477 Michigan Ave., Detroit, MI 48226-2592; (313) 226-4343; TDD * via 1-800-877-8339.

Minnesota

Shawn Huckleby, 220 2nd St. South, Minneapolis, MN 55401-2195; (612) 370-3019; TDD (612) 370-3186.

Mississippi

Jeanie E. Smith, Dr. A. H. McCoy Fed. Bldg., 100 W. Capitol St., Room 910, Jackson, MS 39269-1096; (601) 965-4765; TDD (601) 965-4171.

Missouri

William Rotert, Gateway Towers 2, 400 State Ave., Kansas City, KS 66101-2406; (913) 551-5484; TDD (913) 551-6972.

Montana

Guadalupe Herrera, First Interstate Tower North, 633 17th St., Denver, CO 80202-3607; (303) 672-5414; TDD (303) 672-5248.

Nebraska

Gregory A. Bevirt, Executive Tower Centre, 10909 Mill Valley Road, Omaha, NE 68154-3955; (402) 492-3144; TDD (402) 492-3183.

Nevada

Steve Sachs, 450 Golden Gate Ave., P.O. Box 36003, San Francisco, CA 94102-3448; (415) 436-6544; TDD (415) 556-8357.

New Hampshire

David Lafond, Norris Cotton Fed. Bldg., 275 Chestnut St., Manchester, NH 03101-2487; (603) 666-7640; TDD (603) 666-7518.

New Jersey

Frank Sagarese, 1 Newark Center, Newark, NJ 07102; (201) 622-7900x3300; TDD (201) 645-3298.

New Mexico

Katie Worsham, 1600 Throckmorton, P.O. Box 2905, Fort Worth, TX 76113-2905; (817) 885-5483; TDD (817) 885-5447.

New York

Joseph D'Agosta, 26 Federal Plaza, New York, NY 10278-0068; (212) 264-0771; TDD (212) 264-0927.

North Carolina

Charles T. Ferebee, Koger Building, 2306 West Meadowview Road, Greensboro, NC 27407; (910) 547-4005; TDD (910) 547-4055.

North Dakota

Guadalupe Herrera, First Interstate Tower North, 633 17th St., Denver, CO 80202-3607; (303) 672-5414; TDD (303) 672-5248.

Ohio

John E. Riordan, 200 North High St., Columbus, OH 43215-2499; (614) 469-6743; TDD (614) 469-6694.

Oklahoma

David Long, 500 West Main Place, Suite 400, Oklahoma City, OK 73102; (405) 553-7571; TDD * via 1-800-877-8339.

Oregon

John G. Bonham, 400 S.W. Sixth Ave., Suite 700, Portland, OR 97204-1632 (503) 326-7012; TDD * via 1-800-877-8339.

Pennsylvania

Joyce Gaskins, Wanamaker Bldg., 100 Penn Square East, Philadelphia, PA 19107; (215) 656-0624; TDD (215) 597-5564.

Puerto Rico (and Caribbean)

Carmen R. Cabrera, 159 Carlos Chardon Ave., San Juan, PR 00918-1804; (809) 766-5576; TDD (809) 766-5909.

Rhode Island

Robert Paquin, Acting Director, Thomas P. O'Neill, Jr., Fed. Bldg., 10 Causeway St., Boston, MA 02222-1092; (617) 565-5342; TDD (617) 565-5453.

South Carolina

Louis E. Bradley, Fed. Bldg., 1835 Assembly St., Columbia, SC 29201; (803) 765-5564; TDD (803) 253-3071.

South Dakota

Guadalupe Herrera, First Interstate Tower North, 633 17th St., Denver, CO 80202-3607; (303) 672-5414; TDD (303) 672-5248.

Tennessee

Virginia Peck, 710 Locust St., Knoxville, TN 37902-2526; (423) 545-4391; TDD (423) 545-4559.

Texas

Katie Worsham, 1600 Throckmorton, P.O. Box 2905, Fort Worth, TX 76113-2905; (817) 885-5483; TDD (817) 885-5447.

Utah

Guadalupe Herrera, First Interstate Tower North, 633 17th St., Denver, CO 80202-3607; (303) 672-5414; TDD (303) 672-5248.

Vermont

David Lafond, Norris Cotton Fed. Bldg., 275 Chestnut St., Manchester, NH 03101-2487; (603) 666-7640; TDD (603) 666-7518.

Virginia

Joseph Aversano, 3600 W. Broad St., P.O. Box 90331, Richmond, VA 23230-0331; (804) 278-4503; TDD (804) 278-4501.

Washington

John Peters, Federal Office Bldg., 909 First Ave., Suite 200, Seattle, WA 98104-1000; (206) 220-5150; TDD (206) 220-5185.

West Virginia

Bruce Crawford, 339 Sixth Ave., Pittsburgh, PA 15222-2515; (412) 644-5493; TDD (412) 644-5747.

Wisconsin

Lana J. Vacha, Henry Reuss Fed. Plaza, 310 W. Wisconsin Ave., Ste. 1380, Milwaukee, WI 53203-2289; (414) 297-3113; TDD * via 1-800-877-8339.

Wyoming

Guadalupe Herrera, First Interstate Tower North, 633 17th St., Denver, CO 80202-3607; (303) 672-5414; TDD (303) 672-5248.

Appendix B—Pro Rata Need Estimates

Note: As described in the NOFA, each jurisdiction will be assigned a relative need index that will be applied to the total amount of funds available to determine its pro rata need. The pro rata need estimates below assume that all places listed will apply for funding. These estimates in no way guarantee a minimum or maximum funding level. Estimate A is based on Continuing Resolution funding level, with \$675 million for this competition. Estimate B is based on the Administration's 1996 budget request, with \$925 million for this competition.

[In thousands of dollars]

Jurisdiction	Est. A	Est. B
ALABAMA		
BIRMINGHAM	1,806	2,475
MOBILE	742	1,017
MONTGOMERY	629	862
JEFFERSON COUNTY	661	906
ALABAMA BALANCE	3,651	5,003
Subtotal	7,489	10,263
ALASKA		
ANCHORAGE	500	685
ALASKA BALANCE ..	275	377
Subtotal	775	1,062
ARIZONA		
MESA	726	995
PHOENIX	3,282	4,498
TUCSON	1,645	2,254
MARICOPA COUNTY	814	1,115
PIMA COUNTY	637	873
ARIZONA BALANCE	1,626	2,228
Subtotal	8,730	11,963
ARKANSAS		
LITTLE ROCK	500	685
ARKANSAS BALANCE	2,629	3,603
Subtotal	3,129	4,288
CALIFORNIA		
ANAHEIM	1,016	1,392
BAKERSFIELD	605	829
BERKELEY	871	1,194
COMPTON	637	873
ELMONTE	750	1,028
FRESNO	1,806	2,475
GARDEN GROVE	573	785
GLENDALE	879	1,205
HUNTINGTON PARK	476	652

[In thousands of dollars]			[In thousands of dollars]			[In thousands of dollars]		
Jurisdiction	Est. A	Est. B	Jurisdiction	Est. A	Est. B	Jurisdiction	Est. A	Est. B
INGLEWOOD	605	829	NEWCASTLE COUN-			OAK PARK	484	663
LONG BEACH	2,072	2,839	TY	573	785	PEORIA	508	696
LOS ANGELES	19,773	27,096	DELAWARE BAL-			ROCKFORD	564	773
MODESTO	540	740	ANCE	193	264	COOK COUNTY	2,798	3,834
OAKLAND	2,193	3,005				DUPAGE COUNTY ..	871	1,194
ONTARIO	548	751	Subtotal	1,435	1,966	LAKE COUNTY	597	818
OXNARD	661	906				MADISON COUNTY .	806	1,105
PASADENA	556	762	DISTRICT OF			ST CLAIR COUNTY .	484	663
POMONA	710	973	COLUMBIA			ILLINOIS BALANCE .	5,842	8,006
RIVERSIDE	766	1,050	WASHINGTON BAL-			Subtotal	38,290	52,472
SACRAMENTO	1,435	1,966	ANCE	4,983	6,829			
SALINAS	540	740				INDIANA		
SAN BERNARDINO .	831	1,139	Subtotal	4,983	6,829	EVANSVILLE	782	1,072
SAN DIEGO	4,000	5,481				FORT WAYNE	758	1,039
SAN FRANCISCO	5,516	7,559	FLORIDA			GARY	1,032	1,414
SAN JOSE	2,750	3,769	FT LAUDERDALE	589	807	HAMMOND	621	851
SANTA ANA	1,798	2,464	HIALEAH	1,177	1,613	INDIANAPOLIS	2,427	3,326
SOUTHGATE	581	796	JACKSONVILLE-			SOUTH BEND	774	1,061
STOCKTON	1,081	1,481	DUVAL	1,871	2,564	TERRE HAUTE	508	696
ALAMEDA COUNTY	468	641	MIAMI	2,798	3,834	INDIANA BALANCE .	4,332	5,936
CONTRA COSTA			MIAMI BEACH	605	829	Subtotal	11,234	15,395
COUNTY	839	1,150	ORLANDO	532	729			
FRESNO COUNTY ...	1,266	1,735	ST PETERSBURG ...	661	906	IOWA		
KERN COUNTY	1,581	2,167	TALLAHASSEE	468	641	DES MOINES	1,105	1,514
LOS ANGELES			TAMPA	1,064	1,458	SIOUX CITY	524	718
COUNTY	8,701	11,924	BROWARD COUNTY	1,548	2,121	IOWA BALANCE	3,489	4,781
ORANGE COUNTY ..	1,169	1,602	DADE COUNTY	4,943	6,774	Subtotal	5,118	7,013
RIVERSIDE COUN-			ESCAMBIA COUNTY	605	829			
TY	2,290	3,138	HILLSBOROUGH			KANSAS		
SACRAMENTO			COUNTY	1,371	1,879	KANSAS CITY	677	928
COUNTY	1,693	2,320	ORANGE COUNTY ..	1,258	1,724	TOPEKA	532	729
SAN BERNARDINO			PALM BEACH			WICHITA	823	1,128
COUNTY	2,064	2,828	COUNTY	1,597	2,188	KANSAS BALANCE .	2,124	2,911
SAN DIEGO COUN-			PASCO COUNTY	669	917	Subtotal	4,156	5,696
TY	1,419	1,945	PINELLAS COUNTY	782	1,072			
SAN JOAQUIN			POLK COUNTY	871	1,194	KENTUCKY		
COUNTY	831	1,139	SEMINOLE COUNTY	564	773	COVINGTON	476	652
SAN LUIS OBISPO			VOLUSIA COUNTY ..	669	917	LEXINGTON-FAY-		
COUNTY	581	796	FLORIDA BALANCE	5,414	7,419	ETTE	589	807
SAN MATEO COUN-			Subtotal	30,056	41,188	LOUISVILLE	2,621	3,592
TY	798	1,094				JEFFERSON COUN-		
SANTA CLARA			GEORGIA			TY	702	962
COUNTY	718	984	ATLANTA	2,766	3,790	KENTUCKY BAL-		
SONOMA COUNTY ..	540	740	AUGUSTA	484	663	ANCE	3,177	4,354
VENTURA COUNTY	629	862	COLUMBUS-			Subtotal	7,565	10,367
CALIFORNIA BAL-			MUSCOGEE	613	840			
ANCE	13,739	18,827	SAVANNAH	710	973	LOUISIANA		
Subtotal	93,895	128,671	COBB COUNTY	613	840	BATON ROUGE	1,282	1,757
			DEKALB COUNTY ...	1,137	1,558	NEW ORLEANS	4,322	5,923
COLORADO			FULTON COUNTY ...	613	840	SHREVEPORT	847	1,161
COLORADO			GWINNETT COUNTY	540	740	JEFFERSON PAR-		
SPRINGS	669	917	GEORGIA BALANCE	4,571	6,264	ISH	1,113	1,525
DENVER	2,597	3,559	Subtotal	12,047	16,508	LOUISIANA BAL-		
COLORADO BAL-						ANCE	4,152	5,690
ANCE	2,333	3,197	HAWAII			Subtotal	11,716	16,056
Subtotal	5,599	7,673	HONOLULU	2,887	3,956			
			HAWAII BALANCE ...	425	582	MAINE		
CONNECTICUT			Subtotal	3,312	4,538	PORTLAND	556	762
BRIDGEPORT	935	1,281				MAINE BALANCE ...	1,736	2,379
HARTFORD	1,097	1,503	IDAHO			Subtotal	2,292	3,141
NEW BRITAIN	484	663	IDAHO BALANCE	1,006	1,379			
NEW HAVEN	1,081	1,481	Subtotal	1,006	1,379	MARYLAND		
WATERBURY	589	807				BALTIMORE	6,274	8,598
CONNECTICUT BAL-			ILLINOIS					
ANCE	2,549	3,493	CHICAGO	24,272	33,262			
Subtotal	6,735	9,228	EAST ST LOUIS	556	762			
			EVANSTON	508	696			
DELAWARE								
WILMINGTON	669	917						

[In thousands of dollars]			[In thousands of dollars]			[In thousands of dollars]		
Jurisdiction	Est. A	Est. B	Jurisdiction	Est. A	Est. B	Jurisdiction	Est. A	Est. B
ANNE ARUNDEL COUNTY	532	729	MISSOURI BAL-ANCE	3,067	4,203	NIAGARA FALLS	734	1,006
BALTIMORE COUNTY	1,072	1,469	Subtotal	13,678	18,745	ROCHESTER	2,540	3,481
MONTGOMERY COUNTY	1,266	1,735	MONTANA			SCHENECTADY	693	950
PRINCE GEORGES COUNTY	1,484	2,034	MONTANA BAL-ANCE	906	1,242	SYRACUSE	1,653	2,265
MARYLAND BAL-ANCE	1,231	1,687	Subtotal	906	1,242	TONAWANDA TOWN TROY	476	652
Subtotal	11,859	16,252	NEBRASKA			UTICA	540	740
MASSACHUSETTS			LINCOLN	476	652	YONKERS	847	1,161
BOSTON	5,556	7,614	OMAHA	1,500	2,056	ERIE COUNTY	984	1,348
CAMBRIDGE	855	1,172	NEBRASKA BAL-ANCE	1,314	1,801	MONROE COUNTY	685	939
FALL RIVER	774	1,061	Subtotal	3,290	4,509	NASSAU COUNTY	484	663
LAWRENCE	524	718	NEVADA			ONONDAGA COUNTY	3,645	4,995
LOWELL	613	840	LAS VEGAS	831	1,139	ROCKLAND COUNTY	484	663
LYNN	734	1,006	CLARK COUNTY	968	1,327	SUFFOLK COUNTY	492	674
NEW BEDFORD	790	1,083	NEVADA BALANCE	627	859	WESTCHESTER COUNTY	927	1,270
NEWTON	573	785	Subtotal	2,426	3,325	NEW YORK BAL-ANCE	1,411	1,934
QUINCY	540	740	NEW HAMPSHIRE			Subtotal	7,262	9,952
SOMERVILLE	814	1,115	MANCHESTER	484	663		80,184	109,880
SPRINGFIELD	1,097	1,503	NEW HAMPSHIRE BALANCE	1,099	1,506	NORTH CAROLINA		
WORCESTER	1,282	1,757	Subtotal	1,583	2,169	CHARLOTTE	976	1,337
MASSACHUSETTS BALANCE	5,643	7,733	NEW JERSEY			RALEIGH	500	685
Subtotal	19,795	27,127	BAYONNE	492	674	CUMBERLAND COUNTY	500	685
MICHIGAN			CAMDEN	823	1,128	NORTH CAROLINA BALANCE	5,540	7,592
DEARBORN	573	785	ELIZABETH	629	862	Subtotal	7,516	10,299
DETROIT	11,556	15,836	JERSEY CITY	1,927	2,641	NORTH DAKOTA		
FLINT	1,226	1,680	NEWARK	2,621	3,592	NORTH DAKOTA BALANCE	717	983
GRAND RAPIDS	1,008	1,381	PATERSON	774	1,061	Subtotal	717	983
KALAMAZOO	476	652	TRENTON	839	1,150	OHIO		
LANSING	516	707	BERGEN COUNTY	2,742	3,758	AKRON	1,855	2,542
SAGINAW	710	973	BURLINGTON COUNTY	476	652	CANTON	798	1,094
GENESEE COUNTY	605	829	CAMDEN COUNTY	621	851	CINCINNATI	3,629	4,973
OAKLAND COUNTY	871	1,194	ESSEX COUNTY	1,548	2,121	CLEVELAND	6,862	9,403
WAYNE COUNTY	798	1,094	HUDSON COUNTY	1,298	1,779	COLUMBUS	1,895	2,597
MICHIGAN BAL-ANCE	6,844	9,379	MONMOUTH COUNTY	806	1,105	DAYTON	1,806	2,475
Subtotal	25,183	34,510	MORRIS COUNTY	564	773	LAKEWOOD	548	751
MINNESOTA			OCEAN COUNTY	508	696	SPRINGFIELD	540	740
MINNEAPOLIS	3,750	5,139	UNION COUNTY	1,371	1,879	TOLEDO	2,024	2,774
ST PAUL	2,161	2,961	NEW JERSEY BAL-ANCE	3,435	4,707	YOUNGSTOWN	1,226	1,680
HENNEPIN COUNTY	766	1,050	Subtotal	21,474	29,429	CUYAHOGA COUNTY	718	984
ST LOUIS COUNTY	1,435	1,966	NEW MEXICO ALBU-QUERQUE	1,169	1,602	FRANKLIN COUNTY	484	663
MINNESOTA BAL-ANCE	2,898	3,971	NEW MEXICO BAL-ANCE	1,514	2,075	HAMILTON COUNTY	758	1,039
Subtotal	11,010	15,087	Subtotal	2,683	3,677	MONTGOMERY COUNTY	556	762
MISSISSIPPI			NEW YORK			OHIO BALANCE	7,306	10,011
JACKSON	798	1,094	ALBANY	1,016	1,392	Subtotal	31,005	42,488
MISSISSIPPI BAL-ANCE	3,578	4,903	BINGHAMTON	637	873	OKLAHOMA		
Subtotal	4,376	5,997	BUFFALO	4,693	6,431	OKLAHOMA CITY	1,387	1,901
MISSOURI			ISLIP TOWN	516	707	TULSA	1,048	1,436
KANSAS CITY	2,556	3,503	MOUNT VERNON	492	674	OKLAHOMA BAL-ANCE	2,254	3,089
ST JOSEPH	508	696	NEW YORK	48,973	67,110	Subtotal	4,689	6,426
ST LOUIS	6,120	8,387				OREGON		
ST LOUIS COUNTY	1,427	1,956				PORTLAND	2,548	3,492

[In thousands of dollars]			[In thousands of dollars]			[In thousands of dollars]		
Jurisdiction	Est. A	Est. B	Jurisdiction	Est. A	Est. B	Jurisdiction	Est. A	Est. B
CLACKAMAS COUNTY	524	718	TENNESSEE			SPOKANE	976	1,337
WASHINGTON COUNTY	500	685	CHATTANOOGA	508	696	TACOMA	677	928
OREGON BALANCE	1,932	2,648	KNOXVILLE	548	751	KING COUNTY	1,427	1,956
Subtotal	5,504	7,543	MEMPHIS	2,468	3,382	PIERCE COUNTY	935	1,281
PENNSYLVANIA			NASHVILLE-DAVIDSON	1,290	1,768	SNOHOMISH COUNTY	726	995
ALLENTOWN	677	928	TENNESSEE BALANCE	3,196	4,380	WASHINGTON BALANCE	2,483	3,403
ALTOONA	556	762	Subtotal	8,010	10,977	Subtotal	10,546	14,452
ERIE	968	1,327	TEXAS			WEST VIRGINIA		
HARRISBURG	621	851	AMARILLO	524	718	CHARLESTON	540	740
JOHNSTOWN	468	641	ARLINGTON	621	851	HUNTINGTON	605	829
LANCASTER	476	652	AUSTIN	1,750	2,398	WEST VIRGINIA BALANCE	2,187	2,997
PHILADELPHIA	14,894	20,410	BEAUMONT	484	663	Subtotal	3,332	4,566
PITTSBURGH	4,717	6,464	BROWNSVILLE	839	1,150	WISCONSIN		
READING	855	1,172	CORPUS CHRISTI	1,081	1,481	MADISON	556	762
SCRANTON	911	1,248	DALLAS	4,209	5,768	MILWAUKEE	4,758	6,520
UPPER DARBY	524	718	EL PASO	2,693	3,690	RACINE	540	740
WILKES-BARRE	516	707	FORT WORTH	1,677	2,298	WISCONSIN BALANCE	4,554	6,241
ALLEGHENY COUNTY	4,016	5,503	HOUSTON	7,677	10,520	Subtotal	10,408	14,263
BEAVER COUNTY	1,024	1,403	IRVING	484	663	WYOMING		
BERKS COUNTY	685	939	LAREDO	927	1,270	WYOMING BALANCE	398	545
BUCKS COUNTY	581	796	LUBBOCK	702	962	Subtotal	398	545
CHESTER COUNTY	702	962	MCALLEN	556	762	PUERTO RICO		
DELAWARE COUNTY	960	1,316	SAN ANTONIO	4,322	5,923	AGUADILLA MUNICIPIO	548	751
LANCASTER COUNTY	831	1,139	WACO	484	663	ARECIBO MUNICIPIO	855	1,172
LUZERNE COUNTY	1,202	1,647	BEXAR COUNTY	516	707	BAYAMON MUNICIPIO	1,443	1,977
MONTGOMERY COUNTY	895	1,226	FORT BEND COUNTY	476	652	CAGUAS MUNICIPIO	1,048	1,436
WASHINGTON COUNTY	1,169	1,602	HARRIS COUNTY	2,459	3,370	CAROLINA MUNICIPIO	1,161	1,591
WESTMORELAND COUNTY	1,048	1,436	HIDALGO COUNTY	1,895	2,597	GUAYNABO MUNICIPIO	589	807
YORK COUNTY	629	862	TARRANT COUNTY	839	1,150	HUMACAO MUNICIPIO	476	652
PENNSYLVANIA BALANCE	7,040	9,647	TEXAS BALANCE	11,475	15,724	MAYAGUEZ MUNICIPIO	863	1,183
Subtotal	46,965	64,358	Subtotal	46,690	63,980	PONCE MUNICIPIO	1,742	2,387
RHODE ISLAND			UTAH			SAN JUAN MUNICIPIO	3,169	4,343
PAWTUCKET	540	740	SALT LAKE CITY	1,105	1,514	TOABAJA MUNICIPIO	677	928
PROVIDENCE	1,629	2,232	SALT LAKE COUNTY	879	1,205	VEGA BAJA MUNICIPIO	516	707
WOONSOCKET	339	465	UTAH BALANCE	1,241	1,701	PUERTO RICO BALANCE	6,154	8,433
RHODE ISLAND BALANCE	750	1,028	Subtotal	3,225	4,420	Subtotal	19,241	26,367
Subtotal	3,258	4,465	VERMONT			Total	675,000	925,000
SOUTH CAROLINA			VERMONT BALANCE	846	1,159			
GREENVILLE COUNTY	556	762	Subtotal	846	1,159			
SOUTH CAROLINA BALANCE	3,681	5,044	VIRGINIA					
Subtotal	4,237	5,806	NEWPORT NEWS	476	652			
SOUTH DAKOTA			NORFOLK	1,347	1,846			
SOUTH DAKOTA BALANCE	863	1,183	PORTSMOUTH	468	641			
Subtotal	863	1,183	RICHMOND	1,282	1,757			
WASHINGTON			VIRGINIA BEACH	669	917			
SEATTLE	3,322	4,552	ARLINGTON COUNTY	516	707			
			FAIRFAX COUNTY	1,331	1,824			
			VIRGINIA BALANCE	3,452	4,731			
			Subtotal	9,541	13,075			

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