

SRP-LR incorporates the GALL report by reference.

### Generic Aging Lessons Learned Report

The GALL report, NUREG-1801, presents the aging management review results in a table format. The adequacy of the generic aging management programs in managing certain aging effects for particular structures and components is evaluated based on the review of 10 program attributes: scope of the program, preventive actions, parameters monitored or inspected, detection of aging effects, monitoring and trending, acceptance criteria, corrective actions, confirmation process, administrative controls, and operating experience. If the evaluation determines that a program is adequate to manage certain aging effects for particular structures and components without change, the GALL report indicates that no further NRC staff evaluation is recommended for license renewal. Otherwise, it recommends areas in which the NRC staff should focus its review.

The GALL report is a technical basis document for the SRP-LR. The GALL report should be treated in the same manner as an approved topical report that is applicable generically. An applicant may reference the GALL report in a license renewal application to demonstrate that the applicant's programs at its facility correspond to those reviewed and approved in the GALL report and that no further NRC staff review is required. If the material presented in the GALL report is applicable to the applicant's facility, the NRC staff would find the applicant's reference to the GALL report acceptable. In making this determination, the NRC staff will consider whether the applicant has identified specific programs described and evaluated in the GALL report. The NRC staff will not repeat its review of the substance of the matters described in the GALL report; rather, the NRC staff will ensure that the applicant verifies that the approvals set forth in the GALL report for generic programs apply to the applicant's programs. The focus of the NRC staff review will be on augmented programs for license renewal. The NRC staff will also review information that is not addressed in the GALL report, or is otherwise different from that in the GALL report.

### Analysis of Public Comments on the Improved License Renewal Guidance Documents

On August 31, 2000, the NRC announced (65 FR 53047) the issuance for public comment and availability of

Draft Regulatory Guide DG-1104, "Standard Format and Content for Applications to Renew Nuclear Power Plant Operating Licenses"; a draft "Standard Review Plan for the Review of License Renewal Applications for Nuclear Power Plants" (SRP-LR); and a draft "Generic Aging Lessons Learned (GALL) Report." DG-1104 proposed to endorse NEI 95-10, Rev. 2, "Industry Guideline for Implementing the Requirements of 10 CFR Part 54—The License Renewal Rule." The NRC also announced a public workshop that was held on September 25, 2000, to facilitate gathering public comment on the draft documents. Written comments were received from over 128 commenters with the breakdown being 101 individuals, 15 public interest groups, and 12 industry groups (law firms, utilities, and the Nuclear Energy Institute). The staff also held public meetings with stakeholders to discuss their comments.

NUREG-1739 contains the NRC response to stakeholders' comments. The dispositions are prepared in a table format and contained in five appendices. Appendix A addresses the participant comments from the license renewal public workshop on September 25, 2000; Appendix B addresses the specific written comments submitted by NEI; Appendix C addresses the written comments submitted by various stakeholders such as the Union of Concerned Scientists, utilities, and private citizens; Appendix D addresses five Union of Concerned Scientists reports; and Appendix E addresses the Advisory Committee on Reactor Safety (ACRS) consultants' structural and electrical comments.

Dated at Rockville, Maryland, this 27th day of July 2001.

For the Nuclear Regulatory Commission.

**David B. Matthews,**

*Director, Division of Regulatory Improvement Programs, Office of Nuclear Reactor Regulation.*

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## RAILROAD RETIREMENT BOARD

### Agency Forms Submitted for OMB Review

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35), the Railroad Retirement Board (RRB) has submitted the following proposal(s) for the collection of information to the Office of Management and Budget for review and approval.

*Summary of Proposal(s):*

(1) *Collection title:* Representative Payee Monitoring.

(2) *Form(s) submitted:* G-99a, G-99c.

(3) *OMB Number:* 3220-0151.

(4) *Expiration date of current OMB clearance:* 10/30/2001.

(5) *Type of request:* Extension of a currently approved collection.

(6) *Respondents:* Individuals or households.

(7) *Estimated annual number of respondents:* 6,000.

(8) *Total annual responses:* 6,535.

(9) *Total annual reporting hours:* 2,032.

(10) *Collection description:* Under Section 12(a) of the Railroad Retirement Act, the RRB is authorized to select, make payments to, and to conduct transactions with an annuitant's relative or some other person willing to act on behalf of the annuitant as a representative payee. The collection obtains information needed to determine if a representative payee is handling benefit payments in the best interest of the annuitant.

### FOR FURTHER INFORMATION CONTACT:

Copies of the forms and supporting documents can be obtained from Chuck Mierzwa, the agency clearance officer (312-751-3363). Comments regarding the information collection should be addressed to Ronald J. Hodapp, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092 and the OMB reviewer, Marcie Brown (202-395-7316), Office of Management and Budget, Room 10230, New Executive Office Building, Washington, D.C. 20503.

**Chuck Mierzwa,**

*Clearance Officer.*

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## SECURITIES AND EXCHANGE COMMISSION

### Submission for OMB Review; Comment Request

Upon Written Request, Copy Available From: Securities and Exchange Commission, Office of Filings and Information Services, 450 Fifth Street, NW., Washington, DC 20549.

Extension: Form N-5, SEC File No. 270-172, OMB Control No. 3235-0169, Form N-8A, SEC File No. 270-135, OMB Control No. 3235-0175, Form N-8B-2, SEC File No. 270-186, OMB Control No. 3235-0186.

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission

("Commission") has submitted to the Office of Management and Budget ("OMB") requests for extension of the previously approved collections of information discussed below.

**Form N-5—Registration Statement of Small Business Investment Companies Under the Securities Act of 1933 and the Investment Company Act of 1940**

Form N-5 is the integrated registration statement form adopted by the Commission for use by a small business investment company which has been licensed as such under the Small Business Investment Act of 1958 and has been notified by the Small Business Administration that the company may submit a license application, to register its securities under the Securities Act of 1933 [15 U.S.C. 77a *et seq.*] ("Securities Act"), and to register as an investment company under section 8 of the Investment Company Act of 1940 [15 U.S.C. 80a-1 *et seq.*] ("Investment Company Act"). The purpose of registration under the Securities Act is to ensure that investors are provided with material information concerning securities offered for public sale that will permit investors to make informed decisions regarding such securities. The Commission staff reviews the registration statements for the adequacy and accuracy of the disclosure contained therein. Without Form N-5, the Commission would be unable to carry out the requirements of the Securities Act and Investment Company Act for registration of small business investment companies. The respondents to the collection of information are small business investment companies seeking to register under the Investment Company Act and to register their securities for sale to the public under the Securities Act. The estimated number of respondents is two and the proposed frequency of response is annually. The estimate of the total annual reporting burden of the collection of information is approximately 352 hours per respondent, for a total of 704 hours. Proving the information on Form N-5 is mandatory. Responses will not be kept confidential.

**Form N-8A—Notification of Registration of Investment Companies**

Form N-8A is the form that investment companies file to notify the Commission of the existence of active investment companies. After an investment company has filed its notification of registration under section

8(a) of the Investment Company Act, the company is then subject to the provisions of the Investment Company Act which govern certain aspects of its organization and activities, such as the composition of its board of directors and the issuance of senior securities. Form N-8A requires an investment company to provide its name, state of organization, form of organization, classification, if it is a management company, the name and address of each investment adviser of the investment company, the current value of its total assets and certain other information readily available to the investment company. If the investment company is filing simultaneously its notification of registration and registration statement, Form N-8A requires only that the registrant file the cover page (giving its name, address and agent for service of process) and sign the form in order to effect registration.

The Commission uses the information provided in the notification on Form N-8A to determine the existence of active investment companies and to enable the Commission to administer the provisions of the Investment Company Act with respect to those companies. Each year approximately 263 investment companies file a notification on Form N-8A, which is required to be filed only once by an investment company. The Commission estimates that preparing Form N-8A requires an investment company to spend approximately one hour so that the total burden of preparing Form N-8A for all affected investment companies is 263 hours. The collection of information on Form N-8A is mandatory. The information provided on Form N-8A is not kept confidential.

**Form N-8B-2—Registration Statement of Unit Investment Trusts That Are Currently Issuing Securities**

Form N-8B-2 is the form used by unit investment trusts ("UITs") that are currently issuing securities, including UITs that are issuers of periodic payment plan certificates and UITs of which a management investment company is the sponsor or depositor, to comply with the filing and disclosure requirements imposed by section 8(b) of the Investment Company Act. Form N-8B-2 requires disclosure about the organization of a UIT, its securities, the trustee, the personnel and affiliated persons of the depositor, the distribution and redemption of securities, and financial statements. The Commission uses the information provided in the collection of

information to determine compliance with section 8(b) of the Investment Company Act.

Based on the Commission's industry statistics, the Commission estimates that there would be approximately 24 initial filings on Form N-8B-2 and 11 post-effective amendment filings to the form. The Commission estimates that each registrant filing an initial Form N-8B-2 would spend 44 hours in preparing and filing the form and that the total hour burden for all initial Form N-8B-2 filings would be 1,056 hours. Also, the Commission estimates that each UIT filing a post-effective amendment to Form N-8B-2 would spend 16 hours in preparing and filing the amendment and that the total hour burden for all post-effective amendments to the Form would be 176 hours. By combining the total hour burdens estimated for initial Form N-8B-2 filings and post-effective amendments filings to the form, the Commission estimates that the total annual burden hours for all registrants on Form N-8B-2 would be 1,232. The information provided on Form N-8B-2 is mandatory. The information provided on Form N-8B-2 will not be kept confidential.

Estimates of the burden hours are made solely for the purposes of the Paperwork Reduction Act, and are not derived from a comprehensive or even a representative survey or study of the costs of SEC rules and forms.

The Commission may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

General comments regarding the above information should be directed to the following persons: (i) Desk Officer for the Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of Management and Budget, New Executive Office Building, Washington, DC 20503; and (ii) Michael E. Bartell, Associate Executive Director, Office of Information Technology Securities and Exchange Commission, 450 Fifth Street, NW., Washington, DC 20549. Comments must be submitted to OMB within 30 days of this notice.

Dated: July 24, 2001.

**Margaret H. McFarland,**

*Deputy Secretary.*

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