

Table of Contents

- I. Introduction
- II. Contents of Filing
- III. Notice of Proceeding
- IV. Ordering Paragraphs

I. Introduction

On February 11, 2013, the Postal Service filed a notice pursuant to 39 CFR 3015.5 announcing that it has entered into an additional International Business Reply Service (IBRS) Competitive Contract 3 negotiated service agreement (Agreement).¹ It seeks to have the Agreement included within the existing IBRS Competitive Contract 3 product on grounds of functional equivalence to the baseline agreement filed in Docket No. CP2011–59.² Notice at 3–4.

II. Contents of Filings

Agreement. The Postal Service states that the Agreement is the successor to the agreement included in the IBRS Competitive Contract 3 product in Docket No. CP2012–16. *Id.* at 3.

The Postal Service filed the following material in conjunction with its Notice, along with public (redacted) versions of supporting financial information:

- Attachment 1—a redacted copy of the Agreement;
- Attachment 2—a certified statement required by 39 CFR 3015.5(c)(2);
- Attachment 3—a redacted copy of Governors' Decision No. 08–24; and
- Attachment 4—an application for non-public treatment of materials filed under seal.

Functional equivalency. The Postal Service asserts that the Agreement is functionally equivalent to the baseline agreement filed in Docket No. CP2011–59 because it shares similar cost and market characteristics and meets criteria in Governors' Decision No. 08–24 concerning attributable costs. *Id.* at 3–4. The Postal Service further asserts that the functional terms of the Agreement and the baseline agreement are the same and the benefits are comparable. *Id.* at 4. It states that prices offered under the Agreement may differ due to postage commitments and when the Agreement is signed (due to updated costing information), but asserts that these differences do not alter the functional equivalency of the Agreement and the baseline agreement. *Id.* at 4–5. The

Postal Service also identifies differences between the terms of the two agreements, but asserts that these differences do not affect the fundamental service being offered or the fundamental structure of the Agreement.³ *Id.*

III. Notice of Proceeding

The Commission establishes Docket No. CP2013–50 for consideration of matters raised by the Postal Service's Notice. Interested persons may submit comments on whether the Agreement is consistent with the requirements of 39 CFR 3015.5 and the policies of 39 U.S.C. 3632 and 3633. Comments are due no later than February 20, 2013. The public portions of this filing can be accessed via the Commission's Web site, <http://www.prc.gov>. Information on how to obtain access to material filed under seal appears in 39 CFR 3007.50.

The Commission appoints James F. Callow to serve as Public Representative in the captioned proceeding.

IV. Ordering Paragraphs

It is ordered:

1. The Commission establishes Docket No. CP2013–50 for consideration of the matters raised by the Postal Service's Notice.

2. Comments by interested persons in this proceeding are due no later than February 20, 2013.

3. Pursuant to 39 U.S.C. 505, the Commission appoints James F. Callow to serve as an officer of the Commission (Public Representative) to represent the interests of the general public in this docket.

4. The Secretary shall arrange for publication of this order in the **Federal Register**.

By the Commission.
Shoshana M. Grove,
Secretary.

[FR Doc. 2013–03662 Filed 2–15–13; 8:45 am]

BILLING CODE 7710–FW–P

POSTAL SERVICE

Notice of Availability: Beta Test of Electronic Product Fulfillment for Addressing and Delivery Management Products

AGENCY: Postal Service.

ACTION: Notice.

SUMMARY: The National Customer Support Center (NCSC) is seeking current National Change of Address Link (NCOA®), Delivery Point Verification (DPV®), Delivery Sequence File, Second Generation (DSF®), and Address Matching System-Application

Program Interface (AMS API) licensees to test a beta web service that allows the electronic download of these products through the USPS® Electronic Product Fulfillment (EPF) Web site.

DATES: Interested licensees should submit requests for participation to ncoalink@usps.gov on or before March 15, 2013.

ADDRESSES: Interested licensees may direct questions or requests for additional information to ncoalink@usps.gov to: Mr. Charles B. Hunt, Program Manager, Licensing Group, Address Management, U.S. Postal Service, 225 N Humphreys Blvd. Ste. 501, Memphis, TN 38188–1001.

FOR FURTHER INFORMATION CONTACT: Charles B. Hunt at (901) 681–4651, or Angela D. Lawson at (901) 681–4458.

SUPPLEMENTARY INFORMATION: The Postal Service is continuing its efforts to minimize production costs, and provide a convenient and more rapid method for postal licensees to obtain their data products, by offering the capability to download these products via a secure service. These large data files are currently not available for electronic transfer, however the Postal Service would like to offer the functionality to transfer these data files to licensees to ensure industry compatibility and security compliance, and identify opportunities for service improvement. This functionality will give licensees more flexibility and effectiveness with data installation and production management.

Accordingly, the National Customer Support Center (NCSC) is seeking current NCOA®, DPV®, DSF®, and AMS API licensees to test a beta web service that allows the electronic download of these products through the USPS® Electronic Product Fulfillment (EPF) Web site. Participation in this beta test is strictly limited to the following types of current licensees of the indicated postal products:

- NCOA®: Full Service Providers, Limited Service Providers, End Users, Mail Processing Equipment (MPE) Data Users.

- DPV®: Licensees,
- DSF®: Licensees,
- AMS API Product: Licensees.

Enrollment in this beta service is optional, but participants must first complete an agreement that defines the permitted uses of the beta service. The materials, hardware, activities, provisions, and other assumptions for this beta service are summarized as follows:

General Parameters:

¹ Notice of United States Postal Service Filing of a Functionally Equivalent International Business Reply Service Competitive Contract 3 Negotiated Service Agreement, February 11, 2013 (Notice).

² See Docket Nos. MC2011–21 and CP2011–59 (based on Governors' Decision No. 08–24), Order No. 684, Order Approving International Business Reply Service Competitive Contract 3 Negotiated Service Agreement, February 28, 2011.

- Beta testers (Betas) will support the test with sufficient resources to produce an interface in a timely manner.

- All Betas will be current users of the production version of the beta products.

- The test period will last no more than 90 days from the time materials are provided to Betas.

- Betas will provide feedback that can enhance the fielding of the final product or service.

- Betas will sign a confidentiality statement and an agreement to participate prior to receiving any materials.

Duties of Licensees:

- Licensees will provide their own computer hardware.

- Licensees will be responsible for programming resources, as Betas will be required to set up a method for downloading the electronic files via Web service; there is no USPS-provided interface.

- Licensees must complete an Electronic Product Fulfillment Web Access Form (located at <http://about.usps.com/forms/ps5116.pdf>).

Postal Service Assistance:

- The Postal Service will provide suggestions regarding the minimum computer hardware required for participation.

- The Postal Service will provide a Login ID and password to the fulfillment server.

- The Postal Service will provide a document describing the location of product files.

Stanley F. Mires,

Attorney, Legal Policy & Legislative Advice.

[FR Doc. 2013-03664 Filed 2-15-13; 8:45 am]

BILLING CODE 7710-12-P

SECURITIES AND EXCHANGE COMMISSION

[SEC File No. 270-38, OMB Control No. 3235-0045]

Proposed Collection; Comment Request

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of Investor Education and Advocacy, Washington, DC 20549-0213.

Extension:

Rule 19b-4 and Form 19b-4.

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission ("Commission") is soliciting comments on the existing collection of information

provided for in Rule 19b-4 (17 CFR 240.19b-4), under the Securities Exchange Act of 1934 ("Act") (15 U.S.C. 78a *et seq.*). The Commission plans to submit this existing collection of information to the Office of Management and Budget ("OMB") for extension and approval.

Section 19(b) of the Act (15 U.S.C. 78s(b)) requires each self-regulatory organization ("SRO") to file with the Commission copies of any proposed rule, or any proposed change in, addition to, or deletion from the rules of such SRO. Rule 19b-4 implements the requirements of Section 19(b) by requiring the SROs to file their proposed rule changes on Form 19b-4 and by clarifying which actions taken by SROs are subject to the filing requirement set forth in Section 19(b). Rule 19b-4(n) requires a designated clearing agency to provide an advance notice ("Advance Notice") to the Commission of any proposed change to its rules, procedures, or operations that could materially affect the nature or level of risks presented by such clearing agency. Rule 19b-4(o) requires a registered clearing agency to submit for a Commission determination any security-based swap, or any group, category, type, or class of security-based swaps it plans to accept for clearing ("Security-Based Swap Submission"), and provide notice to its members of such submissions.

The collection of information is designed to provide the Commission with the information necessary to determine, as required by the Act, whether the proposed rule change is consistent with the Act and the rules thereunder. The information is used to determine if the proposed rule change should be approved, disapproved, or if proceedings should be instituted to determine whether to approve or disapprove the proposed rule change.

The respondents to the collection of information are self-regulatory organizations (as defined by the Act), including national securities exchanges, national securities associations, registered clearing agencies, notice registered securities future product exchanges, and the Municipal Securities Rulemaking Board.

In fiscal year 2012, thirty-four respondents filed a total of 1,688 proposed rule change responses.¹ Each response takes approximately 38 hours to complete. Thus, the total annual

reporting burden for filing proposed rule changes with the Commission is 64,144 hours (1,688 proposals per year \times 38 hours per filing).² In addition to filing their proposed rule changes with the Commission, the respondents also are required to post each of their proposals on their respective Web sites, a process which takes approximately four hours to complete per proposal. Thus, for 1,688 proposals, the total annual reporting burden on respondents to post the proposals on their Web sites is 6,752 hours (1,688 proposals per year \times 4 hours per filing). Further, the respondents are required to update their rulebooks, which they maintain on their Web sites, to reflect the changes that they make in each proposal they file. Thus, for all filings that were not withdrawn by a respondent (120 withdrawn filings in fiscal year 2012) or disapproved by the Commission (2 disapproved filings in fiscal year 2012), the respondents were required to update their online rulebooks to reflect the effectiveness of 1,566 proposals, each of which takes approximately four hours to complete per proposal. Thus, the total annual reporting burden for updating online rulebooks is 6,264 hours ((1,688 filings per year—120 withdrawn filings—2 disapproved filings) \times 4 hours). Finally, a respondent is required to notify the Commission if it does not post a proposed rule change on its Web site on the same day that it filed the proposal with the Commission. The Commission estimates that SROs will fail to post proposed rule changes on their Web sites on the same day as the filing 16 times a year, and that each SRO will spend approximately one hour preparing and submitting such notice to the Commission, resulting in a total annual burden of 16 hours (16 notices \times 1 hour per notice).

Clearing agencies have additional information collection burdens. As noted above, a designated clearing agency must file an Advance Notice with the Commission of any proposed change to its rules, procedures, or operations that could materially affect the nature or level of risks presented by such designated clearing agency. The Commission estimates that 10 designated clearing agencies will each submit 35 Advance Notices per year, with each submission taking 90 hours to

¹ The Commission expects four additional respondents to register during the three year period for which this Paperwork Reduction Act Extension is applicable (three as registered clearing agencies and one as a national securities exchange), bringing the total number of respondents to thirty-eight.

² In fiscal year 2012, respondents filed 120 optional amendments to their proposals, as well as 629 required prefilings of their proposed rule changes. Because those submissions are part of the Form 19b-4 process as required by Rule 19b-4, they are included within the 38 hour burden estimate, and, because amendments and prefilings are part of a single proposal, they do not constitute a separate response.