

our Web site at [www.nicic.gov/cooperativeagreements](http://www.nicic.gov/cooperativeagreements).

All final documents and other materials submitted under this project must meet the federal government's requirement for Section 508 accessibility, including those provisions outlined in 1194 Subpart B, Technical Provisions, Subpart C, Functional Performance Criteria; and Subpart D, Documentation and Support, NIC's government product accessibility template (see [www.nicic.gov/section508](http://www.nicic.gov/section508)) outlines the agency's minimum criteria for meeting this requirement; a completed form attesting to the accessibility of project deliverables should accompany all submissions.

Note Concerning Catalog of Federal Domestic Assistance Number: The Catalog of Federal Domestic Assistance (CFDA) should be entered into box 10 of the SF 424. The CFDA number for this solicitation is 16.602, Research and Policy Formulation. You are not subject to Executive Order 12372 and should check box b under section 16.

**Robert M. Brown, Jr.,**  
Acting Director, National Institute of Corrections.

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BILLING CODE 4410-36-P

## DEPARTMENT OF LABOR

### Agency Information Collection Activities; Submission for OMB Review; Comment Request; National Longitudinal Study of Unemployment Insurance Recipients (NLS-UI)

**AGENCY:** Office of the Assistant Secretary for Policy/Chief Evaluation Office, Department of Labor.

**ACTION:** Notice.

**SUMMARY:** The Department of Labor (DOL), as part of its continuing effort to reduce paperwork and respondent burden, conducts a preclearance consultation program to provide the general public and Federal agencies with an opportunity to comment on proposed and/or continuing collections of information in accordance with the Paperwork Reduction Act of 1995 (PRA95) [44 U.S.C. 3506(c)(2)(A)]. This program helps to ensure that required data can be provided in the desired format, reporting burden (time and financial resources) is minimized, collection instruments are clearly understood, and the impact of collection requirements on respondents can be properly assessed.

A copy of the proposed ICR can be obtained by contacting the office listed

below in the addresses section of this notice.

**DATES:** Written comments must be submitted to the office listed in the addresses section below on or before September 20, 2013.

**ADDRESSES:** You may submit comments by either one of the following methods: *Email:* [Pavosevich.Robert@dol.gov](mailto:Pavosevich.Robert@dol.gov); *Mail or Courier:* Robert Pavosevich, U.S. Department of Labor ETA/OUI/DFAS, Room S-4231, 200 Constitution Avenue NW., Washington DC 20210.

*Instructions:* Please submit one copy of your comments by only one method. All submissions received must include the agency name and OMB Control Number identified above for this information collection. Because we continue to experience delays in receiving mail in the Washington, DC area, commenters are strongly encouraged to transmit their comments electronically via email or to submit them by mail early. Comments, including any personal information provided, become a matter of public record. They will also be summarized and/or included in the request for OMB approval of the information collection request.

#### FOR FURTHER INFORMATION CONTACT:

Robert Pavosevich by telephone at 202-693-2935 (this is not a toll-free number) or by email at [Pavosevich.Robert@dol.gov](mailto:Pavosevich.Robert@dol.gov).

#### SUPPLEMENTARY INFORMATION:

I. *Background:* The unemployment insurance (UI) program was designed to reduce financial hardships for unemployed workers, assist with reemployment, and ameliorate the negative effects of unemployment on the economy as a whole. By providing temporary income support, UI benefits can smooth the transition to new circumstances, reduce financial distress, and provide workers with a buffer while they search for jobs. Furthermore, to reduce the potential incentive for UI recipients to prolong their unemployment, UI benefits are time-limited and provide only a partial replacement of lost earnings.

Understanding how workers adjust to the changes in income during and after UI claim spells would enable policymakers to assess how well the program is serving the nation's workers and refine it to meet the needs of unemployed workers while encouraging them to return to work. However, information about UI recipients is generally obtained from retrospective surveys, which might not provide sufficient insight into the dynamic adjustments after job loss or the

recipients' satisfaction with the program structure.

The National Longitudinal Study of Unemployment Insurance Recipients (NLS-UI), funded by the U.S. Department of Labor, Office of the Assistant Secretary for Policy, Chief Evaluation Office, will help policymakers and program administrators gain information about the experiences of UI recipients. The study will examine the extent to which the UI program reduces recipients' financial hardships, the ways in which job search and reemployment expectations change during and after benefit collection, and customers' satisfaction levels with the UI program. The study will address research questions in six broad topic areas: (1) Adequacy of UI benefits, (2) reemployment expectations, (3) job search, (4) total UI benefit usage, (5) employment outcomes, and (6) UI recipients' satisfaction with the UI program.

This package requests clearance for three surveys of UI recipients that will take place over approximately nine months. The surveys will be timed to coincide with the early, middle, and post-UI collection experiences of about 2,800 UI recipients in two states who filed to receive a first payment during 2013. Each survey will take about 25 minutes, on average, and they will be administered by web and computer-assisted telephone interviewing. Administrative UI claims data will also be collected from both states to obtain identifying and contact information about UI recipients who will be surveyed and to learn about their UI benefit collection experiences.

#### II. *Desired Focus of Comments:*

Currently, the Department of Labor is soliciting comments concerning the above data collection for the National Longitudinal Study of Unemployment Insurance Recipients (NLS-UI). Comments are requested to:

- \* evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;
- \* evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used;
- \* enhance the quality, utility, and clarity of the information to be collected; and
- \* minimize the burden of the information collection on those who are to respond, including the use of appropriate automated, electronic,

mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submissions of responses.

III. *Current Actions:* At this time, the Department of Labor is requesting clearance to conduct three surveys of about 2,800 UI recipients for the National Longitudinal Study of Unemployment Insurance Recipients (NLS–UI).

*Type of review:* New information collection request.

*OMB Control Number:* 1205–0NEW.

*Affected Public:* UI benefit recipients in two states who filed to receive a first payment during 2013.

*Frequency:* Three interviews.

*Total Responses:* 5,694 (1,898 per three survey waves).

*Average Time per Response:* 25 minutes.

*Estimated Total Burden Hours:* 2,372 hours.

*Average Annual Other Burden Cost:* \$0.

Comments submitted in response to this request will be summarized and/or included in the request for Office of Management and Budget approval; they will also become a matter of public record.

**James H. Moore, Jr.,**

*Deputy Assistant Secretary for Policy, U.S. Department of Labor.*

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**BILLING CODE 4510–23–P**

## DEPARTMENT OF LABOR

### Employee Benefits Security Administration

#### Proposed Exemptions From Certain Prohibited Transaction Restrictions

**AGENCY:** Employee Benefits Security Administration, Labor.

**ACTION:** Notice of Proposed Exemptions.

**SUMMARY:** This document contains notices of pendency before the Department of Labor (the Department) of proposed exemptions from certain of the prohibited transaction restrictions of the Employee Retirement Income Security Act of 1974 (ERISA or the Act) and/or the Internal Revenue Code of 1986 (the Code). This notice includes the following proposed exemptions: D–11506, UBS AG and Its Current and Future Affiliates and Subsidiaries (collectively, UBS or the Applicant); D–11742 thru D–11746, The ABB Inc. Cash Balance Pension Plan (the Cash Balance Plan); the Cash Balance Pension Plan for Certain Represented Employees of ABB Inc. (the Union Cash Balance Plan); the

Pension Plan for Employees of the Process Analytics Division of ABB Inc. Represented by the Laborer’s International Union of North America (AFL–CIO), Local No. 1304 (the Process Analytics Plan); the Pension Plan of Fischer & Porter Company (the Fisher & Porter Plan); and the ABB Inc. Pension Plan (UE 625 & 626) (the UE 625 & 626 Plan) (each a Plan, and collectively, the Plans); and D–11767, D–11768 and D–11769, American International Group, Inc. Incentive Savings Plan (the Savings Plan), American General Agents’ & Managers’ Thrift Plan (the Thrift Plan), and Chartis Insurance Company—Puerto Rico Capital Growth Plan (the Chartis Plan)(collectively, the Plans).

**DATES:** All interested persons are invited to submit written comments or requests for a hearing on the pending exemptions, unless otherwise stated in the Notice of Proposed Exemption, within 45 days from the date of publication of this **Federal Register** Notice.

**ADDRESSES:** Comments and requests for a hearing should state: (1) The name, address, and telephone number of the person making the comment or request, and (2) the nature of the person’s interest in the exemption and the manner in which the person would be adversely affected by the exemption. A request for a hearing must also state the issues to be addressed and include a general description of the evidence to be presented at the hearing.

All written comments and requests for a hearing (at least three copies) should be sent to the Employee Benefits Security Administration (EBSA), Office of Exemption Determinations, Room N–5700, U.S. Department of Labor, 200 Constitution Avenue NW., Washington, DC 20210. Attention: Application No., stated in each Notice of Proposed Exemption. Interested persons are also invited to submit comments and/or hearing requests to EBSA via email or FAX. Any such comments or requests should be sent either by email to: [moffitt.betty@dol.gov](mailto:moffitt.betty@dol.gov), or by FAX to (202) 219–0204 by the end of the scheduled comment period. The applications for exemption and the comments received will be available for public inspection in the Public Documents Room of the Employee Benefits Security Administration, U.S. Department of Labor, Room N–1513, 200 Constitution Avenue NW., Washington, DC 20210.

**Warning:** All comments will be made available to the public. Do not include any personally identifiable information (such as Social Security number, name, address, or other contact information) or confidential

business information that you do not want publicly disclosed. All comments may be posted on the Internet and can be retrieved by most Internet search engines.

#### SUPPLEMENTARY INFORMATION:

##### Notice to Interested Persons

Notice of the proposed exemptions will be provided to all interested persons in the manner agreed upon by the applicant and the Department within 15 days of the date of publication in the **Federal Register**. Such notice shall include a copy of the notice of proposed exemption as published in the **Federal Register** and shall inform interested persons of their right to comment and to request a hearing (where appropriate).

The proposed exemptions were requested in applications filed pursuant to section 408(a) of the Act and/or section 4975(c)(2) of the Code, and in accordance with procedures set forth in 29 CFR Part 2570, Subpart B (76 FR 66637, 66644, October 27, 2011).<sup>1</sup> Effective December 31, 1978, section 102 of Reorganization Plan No. 4 of 1978, 5 U.S.C. App. 1 (1996), transferred the authority of the Secretary of the Treasury to issue exemptions of the type requested to the Secretary of Labor. Therefore, these notices of proposed exemption are issued solely by the Department.

The applications contain representations with regard to the proposed exemptions which are summarized below. Interested persons are referred to the applications on file with the Department for a complete statement of the facts and representations.

#### UBS AG and Its Current and Future Affiliates and Subsidiaries (Collectively, UBS or the Applicant) Located in New York, New York

[Application No. D–11506]

##### Proposed Exemption

The Department is considering granting an exemption under the authority of section 408(a) of the Employee Retirement Income Security Act of 1974, as amended (ERISA or the Act) and section 4975(c)(2) of the Internal Revenue Code of 1986, as amended (the Code), and in accordance with the procedures set forth in 29 CFR Part 2570, Subpart B (76 FR 66637, 66644, October 27, 2011).<sup>2</sup>

<sup>1</sup> The Department has considered exemption applications received prior to December 27, 2011 under the exemption procedures set forth in 29 CFR Part 2570, Subpart B (55 FR 32836, 32847, August 10, 1990).

<sup>2</sup> For purposes of this proposed exemption, references to section 406 of ERISA should be read,